

File 16:Gale Group PROMT(R) 1990-2003/Jul 30  
 (c) 2003 The Gale Group  
 File 148:Gale Group Trade & Industry DB 1976-2003/Jul 30  
 (c)2003 The Gale Group  
 File 160:Gale Group PROMT(R) 1972-1989  
 (c) 1999 The Gale Group  
 File 275:Gale Group Computer DB(TM) 1983-2003/Jul 30  
 (c) 2003 The Gale Group  
 File 621:Gale Group New Prod.Annou.(R) 1985-2003/Jul 30  
 (c) 2003 The Gale Group  
 File 636:Gale Group Newsletter DB(TM) 1987-2003/Jul 30  
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?ds

Set	Items	Description
S1	110038	(AUTOMAT? OR COMPUTERI? OR ELECTRONIC? OR INTERNET) (5N) (TRADE OR TRADES OR TRADING)
S2	44975	(SUBMIT? OR SUBMISSION? OR TRANSMIT? OR TRANSMISS?) (5N) (ORDER OR ORDERS OR REQUEST OR REQUESTS)
S3	1755	((LOOKUP OR LOOK()UP) (1W) (TABLE OR TABLES) OR TABLE()LOOK(-)UP? OR LOOKUP OR LOOK()UP) (5N) (PRICE OR PRICES OR CALCULATION?)
S4	481157	(TABLE? ? OR INDEX? OR MATRIX OR INDEXES OR INDICES OR MATRICES OR LIST? OR RECORD? OR FILE?) (5N) (PRICE OR PRICES OR CALCULATION?)
S5	50	(FAST()TIME OR REAL()TIME OR REALTIME OR AUTOMATIC? OR SIMULTAN? OR DYNAMIC? OR CURRENT? OR PRESENT? OR INSTANT? OR IMMEDIAT? OR INSTANTAN? OR ON(1W) FLY) (3W) (TRANSACTION()VALUE?)
S6	213	S1(S)S2
S7	3	S6(S) (S3 OR S4)
S8	1	RD (unique items)
S9	0	S1(S)S5
S10	0	S6(S) (TABLE OR TABLES)
S11	752	S1(S) (TABLE OR TABLES)
S12	0	S11(S)S5
S13	0	S11(S) (TRANSACTION()VALUE?)
S14	6	S1(S) (FAST()TIME?)
S15	6	S14 NOT S8
S16	3	S15 NOT PY>2000
S17	1	RD (unique items)

'7/3,K/1 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

06173710 Supplier Number: 54028807 (USE FORMAT 7 FOR FULLTEXT)  
**Market Memo: E-commerce finding its niche, but results a firm - don't know.**  
Dalton, John  
Health Industry Today, pNA  
Jan, 1999  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Professional Trade  
Word Count: 2172

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...buyers and vendors access to tabulated information though its database, receiving marketing pricing trends and **indices** of **prices**, lowest to highest, of prices paid by item. E-commerce, concludes Smith, has staggering sales...

...reached an affiliation agreement with Healthcare EDI Coalition, Little Rock, Ark., the industry's largest **electronic trading** coalition. Through the agreement, HEDIC members will receive discounted fees for marketing services through the...its electronic ordering system which uses a master product catalog of 60,000 items to **transmit orders** to distributor Owens & Minor, Richmond, Va. GPOs are not left behind. Premier Inc., Westchester, Ill...

7/3,K/2 (Item 1 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
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10862888 SUPPLIER NUMBER: 54028807 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Market Memo: E-commerce finding its niche, but results a firm - don't know.**  
Dalton, John  
Health Industry Today, NA  
Jan, 1999  
ISSN: 0745-4678 LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 2339 LINE COUNT: 00191

TEXT:

...buyers and vendors access to tabulated information though its database, receiving marketing pricing trends and **indices** of **prices**, lowest to highest, of prices paid by item. E-commerce, concludes Smith, has staggering sales...

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7/3,K/3 (Item 1 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
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04110426 Supplier Number: 54028807 (USE FORMAT 7 FOR FULLTEXT)  
**Market Memo: E-commerce finding its niche, but results a firm - don't know.**  
Dalton, John  
Health Industry Today, pNA  
Jan, 1999

Language: English Record Type: Fulltext  
Document Type: Newsletter; Professional Trade  
Word Count: 2172

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

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'8/3,K/1 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06173710 Supplier Number: 54028807 (USE FORMAT 7 FOR FULLTEXT)  
**Market Memo: E-commerce finding its niche, but results a firm - don't know.**  
Dalton, John  
Health Industry Today, pNA  
Jan, 1999  
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17/3,K/1 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07897476 Supplier Number: 66001674 (USE FORMAT 7 FOR FULLTEXT)  
**Brokat Acquires Leading Brokerage Software Company.**  
PR Newswire, pNA  
Oct 12, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 1163

... Interactive Voice Response (IVR) system. Today, more than 90  
Broker/Dealers use AFS to provide **Internet trading**, account status,  
real-time quotes, and market research to their clients. AFS provides robust  
Internet solutions, **fast time** -to-market and extensive professional  
services and is available through an ASP model or for...

'?ds

Set	Items	Description
S1	325	(AUTOMAT? OR COMPUTERI? OR ELECTRONIC? OR INTERNET) (5N) (TRADE OR TRADES OR TRADING)
S2	136	(SUBMIT? OR SUBMISSION? OR TRANSMIT? OR TRANSMISS?) (5N) (ORDER OR ORDERS OR REQUEST OR REQUESTS)
S3	10	((LOOKUP OR LOOK()UP) (1W) (TABLE OR TABLES) OR TABLE()LOOK(-)UP? OR LOOKUP OR LOOK()UP) (5N) (PRICE OR PRICES OR CALCULATION?)
S4	252	(TABLE? ? OR INDEX? OR MATRIX OR INDEXES OR INDICES OR MATRICES OR LIST? OR RECORD? OR FILE?) (5N) (PRICE OR PRICES OR CALCULATION?)
S5	0	(FAST()TIME OR REAL()TIME OR REALTIME OR AUTOMATIC? OR SIMULTAN? OR DYNAMIC? OR CURRENT? OR PRESENT? OR INSTANT? OR IMMEDIAT? OR INSTANTAN? OR ON(1W)FLY) (3W) (TRANSACTION()VALUE?)
S6	4	S1 AND S2
S7	2	S1 AND (S3 OR S4)
S8	2	S7 NOT S6
S9	0	S1 AND S5
S10	5	S1 AND (TABLE OR TABLES)
S11	5	S10 NOT (S6 OR S8)
S12	0	S1 AND (TRANSACTION()VALUE?)
S13	0	S1 AND (FAST()TIME?)

6/5/1

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.  
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01664171 DOCUMENT TYPE: Product

**PRODUCT NAME: eFX Internet Trading System (664171)**

Spot Systems Inc (422517)  
180 Montgomery #1550  
San Francisco, CA 94104 United States  
TELEPHONE: (415) 982-8150

RECORD TYPE: Directory

CONTACT: Sales Department

eFX **Internet Trading** System extends banking services to the customers' desks, allowing them immediate access to foreign exchange and international payments services utilizing real-time FX rates. This Web-enabled, browser-based, foreign exchange product allows corporate customers, branches and correspondents to execute foreign exchange spot and forward transactions, drawdown contracts, originate drafts and send wires via the Internet from their offices. All transaction **requests** are **submitted** to SPOTSERVER, a sophisticated middleware system that provides security, rate quotation and limit monitoring services during the remote trading process and follow-up status reporting of complete transactions after execution. Upon receiving a quote, the customer has a specified period of time to accept or decline it before it expires. The bank maintains full control of the rates quoted to a customer through a collection of rate and fee schedules, tailored by individual customer and transaction size. After rate acceptance by the customer, the transaction is sent electronically and recorded on a centralized database so that Foreign Exchange, DDA, and Wire Systems have immediate access to the information. The set of systems residing at the host location can access the centralized database to reflect the new transaction in real-time.

DESCRIPTORS: Banks; E-Banking; EFT (Electronic Funds Transfer); Financial Institutions; Foreign Exchange; Global Finance; OLTP

HARDWARE: IBM PC & Compatibles  
OPERATING SYSTEM: Open Systems; Windows; Windows NT/2000  
PROGRAM LANGUAGES: Not Available  
TYPE OF PRODUCT: Mainframe; Mini; Micro  
POTENTIAL USERS: Banks  
PRICE: Available upon request  
REVISION DATE: 20020307

6/5/2

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.  
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01031542 DOCUMENT TYPE: Product

**PRODUCT NAME: BuyerXpert (031542)**

iPlanet E-Commerce Solutions (676497)  
901 San Antonio Rd  
Palo Alto, CA 94303 United States  
TELEPHONE: (650) 960-1300

RECORD TYPE: Directory

CONTACT: Sales Department

BuyerXpert from iPlanet E-Commerce Solutions is an order-processing system that offers centralized management of the entire product acquisition process, including product requests, approval, and delivery. It leverages existing business applications to produce the benefits of greater order processing efficiency and improved contract compliance and sourcing capabilities. Users order products through a personalized interface that contains remote and local catalogs. BuyerXpert automatically transfers relevant information, such as accounting codes and business methods and terms, to requests, then automates the approval process and **transmits** the **request** to the appropriate online trading partner. It can be customized to meet specific business needs; users can determine which functions should be accessible to end-users and can set standards by which requests should be routed. BuyerXpert is designed to enable businesses to integrate with trading partners and implement e-commerce transactions within **Internet trading** communities. It is built on a hybrid catalog management strategy, enabling firms to manage catalog content locally and import it through XML. BuyerXpert also performs data analysis functions, providing users with reports with which to analyze and manage procurement expenses.

DESCRIPTORS: B2B Marketplaces; E-Commerce; E-Purchasing; Extranets; Order Fulfillment; Purchase Orders

HARDWARE: Sun; UNIX  
OPERATING SYSTEM: Solaris  
PROGRAM LANGUAGES: XML  
TYPE OF PRODUCT: Mini; Workstation  
POTENTIAL USERS: E-Commerce  
PRICE: Available upon request

TRAINING AVAILABLE: Training  
OTHER REQUIREMENTS: 512MB RAM; 2GB disk space; Solaris 2.6+ required  
SERVICES AVAILABLE: Newsgroups  
REVISION DATE: 20010530

6/5/3

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00118176 DOCUMENT TYPE: Review

PRODUCT NAMES: Company--Tradescape Corp (868582)

TITLE: Competing With Nasdaq  
AUTHOR: Barnett, Megan  
SOURCE: Industry Standard, v2 n22 p58(1) Jul 19, 1999  
ISSN: 1098-9196  
HOMEPAGE: <http://www.thestandard.com>

RECORD TYPE: Review  
REVIEW TYPE: Company

When a Tradescape.com customer **submits** an **order** to buy/sell stock, Tradescape, a smaller provider of an e-commerce portal for day **trading**, scans nine ECNs ( **electronic** communication networks) to find a possible match before completing the trade. Tradescape reports and approximately 75 percent success rate, and makes the service available via the Net; fees begin at \$1.50 per 100 shares. Tradescape's 26-year-old founder, Omar Amanat, says the company brings the most dynamic day-trading services, such as real-time streaming information, to users. He contends that investors should understand that speed is as important as, or perhaps more important than price. Tradescape recently obtained \$40 million in venture capital from Softbank and J. W. Childs. Amanat reports that Tradescape will launch



a marketing campaign, and has an **Internet** - enabled **trading** site of its own that obtained 25,000 accounts through word of mouth. Softbank has also funded E-Loan, E-Trade, InsWeb, and Yahoo!. Softbank will leverage Tradescape's technology by establishing a Japanese joint venture. A day trading firm will be created in Japan, as well as a Japanese ECN. Softbank will also use Tradescape software for an electronic stock market to be launched with the NASD IN 2000.

COMPANY NAME: Tradescape Corp (667421)  
SPECIAL FEATURE: Tables  
DESCRIPTORS: E-Commerce; **Internet** Marketing; Investment Analysis; Online  
Stock **Trading** ; Order Fulfillment; Software Marketing; Stock Market  
REVISION DATE: 20020722

6/5/4

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00114149 DOCUMENT TYPE: Review

**PRODUCT NAMES:** Online Stock Trading (837407); Stock Brokers (830691)

**TITLE:** Stock Trading Goes Wireless  
**AUTHOR:** Iversen, Wesley R  
**SOURCE:** Financial Service ONLINE, p22(5) Nov 1998  
**ISSN:** 1093-1244  
**HOME PAGE:** <http://www.financialserviceonline.com>

**RECORD TYPE:** Review  
**REVIEW TYPE:** Product Analysis  
**GRADE:** Product Analysis, No Rating

The newest trend in stock **trading** is wireless **Internet trading** technology, which allows investors to access the Web to buy and sell securities from any location. Consumers with laptops and wireless modems can already access their Web-based brokerages for trading, but the latest wave brings the same capability to smaller, handheld devices such as smart cellular phones, personal organizers, and handheld Windows CE-based PCs. The new service relies on small Web browsers that are built into the devices, along with data feeds without the typical World Wide Web site graphics. Eliminating the graphics accommodates the smaller displays typical to handheld devices. Trade **orders** can be **transmitted** using various standards, so the data can be sent over existing cellular voice networks. Consumers can also receive real-time quotes and automated alerts. Because many cellular providers offer flat-rate monthly pricing, it is possible to keep devices constantly connected for up-to-date market intelligence. Only a few small online brokerages are offering the service now, but many more are planning to start offering handheld wireless trading. Although the new model has a lot of potential, some vendors are not yet convinced, citing problems such as gaps in cellular coverage and the small displays found on the handheld devices.

COMPANY NAME: Vendor Independent (999999)  
SPECIAL FEATURE: Graphs Screen Layouts  
DESCRIPTORS: Handhelds & Palmtops; Internet; Investment Management; Mobile  
Computing; Online Stock Trading; Stock Brokers; Windows CE; Wireless  
Networks  
REVISION DATE: 20010330  
?

8/5/1

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.  
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01134783 DOCUMENT TYPE: Product

**PRODUCT NAME: XpressChain (134783)**

ecVision Inc (732664)  
50 Park Pl 4th Floor  
Newark, NJ 07102 United States  
TELEPHONE: (973) 286-4600

RECORD TYPE: Directory

CONTACT: Sales Department

ecVision's XpressChain is a supply chain management system that allow organizations to simplify electronic communications. Employing the system, users can generate **trade** documents **electronically** ; define document processing rules; communicate with multiple partners across multiple networks; and process customers' documents. XpressChain also includes folder and document management features, along with label printing and scanning options. The Advance Shipment Notice (ASN)--Packing List generates purchase order (PO) receipts, PO acknowledgments, packing **lists** , UCC/EAN-128 labels, **price** tickets, and hang tags. The system allows organizations to reduce multiple data entry processes and reduce data errors. Automated documentation operations shorten response times, enhancing customer support. XpressChain includes invoice and order placement modules. The system provides packing list consolidation and summary, over shipment checking, over- weight checking, and other features.

DESCRIPTORS: E-Billing; E-Commerce; EDI (Electronic Data Interchange);  
Order Fulfillment; Partner Relationship Management; Supply Chain  
Management

HARDWARE: Hardware Independent; Java  
OPERATING SYSTEM: Open Systems  
PROGRAM LANGUAGES: XML  
TYPE OF PRODUCT: Mainframe; Mini; Micro; Workstation  
POTENTIAL USERS: Cross Industry, Enterprises, SCM  
PRICE: Available upon request

REVISION DATE: 20030428

8/5/2

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.  
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00126475 DOCUMENT TYPE: Review

**PRODUCT NAMES: B2B Marketplaces (842338)**

**TITLE: Promises, Promises: B-to-B marketplaces hold great promise, but...**  
AUTHOR: Grappa, Michelle  
SOURCE: Business 2.0, p58(3) Oct 24, 2000  
ISSN: 1080-2681  
HOMEPAGE: <http://www.business2.com>

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis  
GRADE: Product Analysis, No Rating

Business-to-business (B2B) e-marketplaces have the potential to streamline

and reduce the cost of making and delivering products, but none of the e-marketplace models in place today provides the ideal solution for all businesses. Internet exchanges that pull in many buyers and sellers say they can expand market reach, increase buying power, determine the fairest market prices for products and services, and eliminate waste from sloppy supply chains. Today's awkward methods of **trade** (phone calls, faxes, expensive **electronic** data interchange networks, and ineffective distribution channels) are being replaced by more efficient processes and venues. 3M's experiences demonstrate what happens when companies start on the path to new ways; 3M has experienced 'lagging sales' since partnering with VerticalNet. 3M says a conflict arose with 3M's distributors, which make up 85 percent of the company's \$15.7 billion in annual sales. 3M did agree to offer products on VerticalNet at **list price**, but learned too late that most buyers of safety equipment prefer to negotiate prices. The four common promises made by online e-marketplaces and the way these promises are kept and affect customers are described. The promises involve leads, big-versus-small supplier issues, reverse auctions, and linking suppliers to customers.

COMPANY NAME: Vendor Independent (999999)

DESCRIPTORS: B2B Marketplaces; Business Models; E-Commerce; Manufacturing;  
Supply Chain Management

REVISION DATE: 20010430

11/5/1

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00123071 DOCUMENT TYPE: Review

**PRODUCT NAMES: Extranets (837385); Aviation (830469)**

**TITLE: Aerospace Firms Team for B-to-B: Industry's conservatism..**

**AUTHOR: King, Julia Stedman, Craig Cope, James**

**SOURCE: Computerworld, v34 n14 pl(2) Apr 3, 2000**

**ISSN: 0010-4841**

**HOME PAGE: <http://www.computerworld.com>**

**RECORD TYPE: Review**

**REVIEW TYPE: Product Analysis**

**GRADE: Product Analysis, No Rating**

Four leading companies in the aerospace industry recently announced plans to launch an **Internet trading** exchange for the industry by mid-2000. The Boeing Company, Lockheed Martin, Raytheon Company, and BAE Systems PLC of the United Kingdom, the four participants, annually purchase \$71 billion in goods and services from 37,000 suppliers. The aerospace marketplace will be constructed with software from Commerce One and Oracle, both of which are also involved in an exchange that supports the automotive industry. Executives for the aerospace industries indicate a desire to migrate as much purchasing as possible to the exchange in order to lower costs. In the process, they will transform the ways in which they conduct business, says Phil Condit, chairman and CEO of Boeing. However, the agreement has not yet been signed by the four founders, and some industry observers predict slow progress. For instance, Craig Schmitman of AeroSpaceNews.com says the aerospace industry runs under a paradox in which leading-edge technology is common, but business practices are extremely conservative. Another analyst says the exchange indicates a move toward the new economy, but several suppliers are not sure how they will take part in an Internet-enabled industry exchange. According to one expert, several aircraft and defense exchanges are on the **table**.

**COMPANY NAME: Vendor Independent (999999)**

**SPECIAL FEATURE: Charts**

**DESCRIPTORS: Aviation; E-Commerce; E-Purchasing; Extranets; Manufacturing; Supply Chain Management**

**REVISION DATE: 20020819**

11/5/2

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00110369 DOCUMENT TYPE: Review

**PRODUCT NAMES: Generator (716618)**

**TITLE: Tool shoots live data to banner ads**

**AUTHOR: Dillon, Nancy**

**SOURCE: Computerworld, v32 n34 p39(2) Aug 24, 1998**

**ISSN: 0010-4841**

**HOME PAGE: <http://www.computerworld.com>**

**RECORD TYPE: Review**

**REVIEW TYPE: Product Analysis**

**GRADE: Product Analysis, No Rating**

Macromedia's Generator can pull live information from Open Database

Connectivity (ODBC) databases, text files, cookies, or uniform resource locators (URLs), and can then publish the information as dynamic graphics. The graphics can replace static Internet banner ads, which a Web design manager for ETrade Group describes as 'eyesores.' When used, Generator could launch whenever a Web page containing an ETrade banner is requested. A call would be sent to Generator on an ETrade UNIX-based server, and Generator would reference an ODBC database on the ETrade network. Then the most current headlines or stock prices could stream into the banner. ETrade has between 20 and 30 banners styles posted on Web sites, including America Online and Microsoft Investor. Without Generator, ETrade would need a team of four programmers working 24 hours a day to perform manual updates, and each programmer would earn between \$80 and \$100 per hour. Generator could also potentially be used for interactive tutorials on online **trading** and to provide charts that **automatically** update themselves. Other products dynamically push database information to the Web, including Microsoft's Microsoft Active Server Pages and Allaire's Cold Fusion, but Generator also converts information into graphics, rather than static Hypertext Markup Language (HTML) **tables** .

PRICE: \$2999

COMPANY NAME: Macromedia Inc (423106)

SPECIAL FEATURE: Charts

DESCRIPTORS: Alerts; Database Publishing; Electronic Publishing; Internet Marketing; Internet Utilities; Network Software; OLTP; Stock Market; UNIX

REVISION DATE: 20020819

11/5/3

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.

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00105780

DOCUMENT TYPE: Review

PRODUCT NAMES: EDI (Electronic Data Interchange) (830052); Internet (833029)

TITLE: EDI: A Guide to Business-to-Business Communication

AUTHOR: Navas, Deb

SOURCE: ID Systems, v17 n10 p12(5) Oct 1997

ISSN: 0892-676X

HOME PAGE: <http://www.idsystems.com>

RECORD TYPE: Review

REVIEW TYPE: Product Analysis

GRADE: Product Analysis, No Rating

Electronic data interchange (EDI) as implemented on the Internet represents a huge advance in electronic commerce because it provides relief from two of EDI's biggest drawbacks: high costs and difficult implementation. Internet-enabled EDI is just reaching its earliest potential, but it could precede a sea change of EDI growth. EDI standards were created by the American National Standards Institute (ANSI)'s X12 Accredited Standards committee. All industry-specific standards were consolidated, and standard data formats for transaction sets were created. These are series of data elements grouped in segments that when combined make up an electronic document. Often-used transaction sets with transaction set numbers include, among others: Advance Ship Notice/Manifest (856); Inventory Inquiry (846); Order Status Inquiry (869); and Ship Confirmation (945). Standards continue to evolve, and the ANSI X12 standard has a **table** hierarchy that completely describes each transaction set. Although users can pay high prices to send EDI transactions over value-added network connections, benefits of the Internet include more flexibility, which allows smaller businesses to participate in EDI **trading** hubs; faster transmission via

the **Internet** ; ease of use and easier deployment; and more universal access, since more businesses use the Internet than VANs.

COMPANY NAME: Vendor Independent (999999)  
SPECIAL FEATURE: Screen Layouts Charts  
DESCRIPTORS: Communications Standards; Data Communications; EDI  
(Electronic Data Interchange); Internet  
REVISION DATE: 20020227

11/5/4

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00096123 DOCUMENT TYPE: Review

**PRODUCT NAMES: Internet Marketing (835552); E-Commerce (836109)**

**TITLE: Timing Is Everything: Getting Into EC At The Right Cycle Is Essential**

AUTHOR: Mason, David Turner, Mary Johnston  
SOURCE: Communications Week, v626 pS3(3) Sep 2, 1996  
ISSN: 0746-8121

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis  
GRADE: Product Analysis, No Rating

Because success in the World Wide Web-based world of electronic commerce (EC) is far from a sure thing, companies need guidelines before entering this new commercial world. To succeed, Web/Internet-based EC must supplant electronic data interchange (EDI) as the preferred electronic purchasing system. Web-based EC can substantially reduce costs of electronic transaction processing, which currently is conducted mostly by particular industries and **trading** partners using strictly formatted **electronic** forms to automate many tasks. Security is the crucial issue, because consumers justifiably fear sending credit card information over the Internet. IT is well positioned to provide technology gateways between multiple EC initiatives and the Internet-based user community that corporations are trying to reach. Dependable, universal security methods, optimized routing **tables** , and enough network bandwidth are infrastructure elements needed to move a concept from demo status to a functioning, useful operation.

COMPANY NAME: Vendor Independent (999999)  
SPECIAL FEATURE: Charts  
DESCRIPTORS: Computer Security; Data Communications; E-Commerce; EDI  
(Electronic Data Interchange); Internet Marketing; Internet Security;  
Internet Utilities; Purchasing  
REVISION DATE: 20010330

11/5/5

DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.  
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00088256 DOCUMENT TYPE: Review

**PRODUCT NAMES: SSL (835111)**

**TITLE: Real-time trading over the Internet**

AUTHOR: Blankenhorn, Dana  
SOURCE: Communications Week, v585 pIA9(2) Nov 20, 1995  
ISSN: 0746-8121

RECORD TYPE: Review  
REVIEW TYPE: Product Analysis  
GRADE: Product Analysis, No Rating

Brokerage firms conduct real-time **trading** over the **Internet** with the PAWWS Financial network, a World Wide Web site, which tracks clients' portfolios and trade securities in real-time using a secure Web server from Netscape Communications. Three brokerage companies take trades via the site, and PAWWS' director of development says more deals are on the **table**. PAWWS information providers include Data Transmission Network, a financial news service; Gabelli Funds, a financial services company that provides an online service on PAWWS; North American Quotations, with free stock quotes at intervals or real-time quotes for a fee. At the core of PAWWS is real-time portfolio analysis software written in APL. The programs are used by many portfolio management companies to offer 'wrap' accounts, which communicate via Common Gateway Interface (CGI) scripts to create one comprehensive account for one fee.

COMPANY NAME: Vendor Independent (999999)  
SPECIAL FEATURE: Screen Layouts  
DESCRIPTORS: Computer Security; Internet Marketing; Internet Security;  
Investment Management; Order Fulfillment; Stock Brokers  
REVISION DATE: 20020630  
?

File 344:Chinese Patents Abs Aug 1985-2003/Mar  
(c) 2003 European Patent Office  
File 347:JAPIO Oct 1976-2003/Mar(Updated 030703)  
(c) 2003 JPO & JAPIO  
File 350:Derwent WPIX 1963-2003/UD,UM &UP=200348  
(c) 2003 Thomson Derwent

?ds

Set	Items	Description
S1	988	(AUTOMAT? OR COMPUTERI? OR ELECTRONIC? OR INTERNET) (5N) (TRADE OR TRADES OR TRADING)
S2	33680	(SUBMIT? OR SUBMISSION? OR TRANSMIT? OR TRANSMISS?) (5N) (ORDER OR ORDERS OR REQUEST OR REQUESTS)
S3	309	((LOOKUP OR LOOK()UP) (1W) (TABLE OR TABLES) OR TABLE()LOOK(-)UP? OR LOOKUP OR LOOK()UP) (5N) (PRICE OR PRICES OR CALCULATION?)
S4	5542	(TABLE? ? OR INDEX? OR MATRIX OR INDEXES OR INDICES OR MATRICES OR LIST? OR RECORD? OR FILE?) (5N) (PRICE OR PRICES OR CALCULATION?)
S5	2	(FAST()TIME OR REAL()TIME OR REALTIME OR AUTOMATIC? OR SIMULTAN? OR DYNAMIC? OR CURRENT? OR PRESENT? OR INSTANT? OR IMMEDIAT? OR INSTANTAN? OR ON(1W)FLY) (3W) (TRANSACTION()VALUE?)
S6	46	S1 AND S2
S7	0	S6 AND S3
S8	1	S6 AND S4
S9	0	S1 AND S5
S10	2	S5 NOT S8
S11	1	S6 AND (TABLE OR TABLES)
S12	1	S11 NOT (S8 OR S10)
S13	0	S6 AND (TRANSACTION()VALUE?)
S14	0	S1 AND (TRANSACTION()VALUE?)
S15	0	S1 AND (FAST()TIME?)



8/3,K/1 (Item 1 from file: 350)  
DIALOG(R) File 350:Derwent WPIX  
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013946196 \*\*Image available\*\*  
WPI Acc No: 2001-430409/200146

**System and method for trade agency service using internet**  
Patent Assignee: OH B K (OHBK-I)  
Inventor: OH B K

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2001000794	A	20010105	KR 200061713	A	20001019	200146 B

Priority Applications (No Type Date): KR 200061713 A 20001019

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
KR 2001000794	A		1	G06F-017/60	

**System and method for trade agency service using internet**

Abstract (Basic):

... A method for **trade** agency service using the **internet** is provided to operate a server system that registers and manages a vast number of...

... specific product that he/she desires to import, he/she inputs and transmits an item **list**, item **price**, and its manufacturer to a server system(S42). The server system searches the client system...

...demands(S45). When a company that satisfies the optimized conditions is determined, the server system **transmits** a payment **request** that demands the settlement of the product costs and export agency commission fee to the...

?

10/5/1 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
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015187157 \*\*Image available\*\*  
WPI Acc No: 2003-247690/200324  
XRPX Acc No: N03-196897

**Concession sales calculator for concession sales tracking system has first, second and third displays to respectively exhibit transaction value, tendered value and change due value**

Patent Assignee: LANE F K (LANE-I)

Inventor: LANE F K

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030006278	A1	20030109	US 2001900279	A	20010706	200324 B

Priority Applications (No Type Date): US 2001900279 A 20010706

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 20030006278	A1		9 G06K-005/00	

Abstract (Basic): US 20030006278 A1

NOVELTY - A display (24) exhibits a transaction value. The display (26) exhibits a tendered value. A third display exhibits a change due value which is the amount of change due to the customer from the vendor.

DETAILED DESCRIPTION - A housing (12) adapted to be handheld by an operator, has a keyboard (18) whose keys (32,42) are divided into a first group of keys representing unit price values and a second group of keys representing tendered values. A math processor computes the transaction value from input from the first group of keys, the tendered value from input from the second group of keys, and the change due value from a comparison of the transaction value and the tendered value. An INDEPENDENT CLAIM is also included for a method for calculating and displaying on a handheld calculator an **instantaneous transaction value** and a change due value for a transaction between a vendor and a customer.

USE - For concession sales tracking system.

ADVANTAGE - Requires minimum of key manipulations for computation and display of merchandise sale. Displays amount tendered and change due to customer for rapid completion of transaction by vendor.

DESCRIPTION OF DRAWING(S) - The figure is the front view of the concession sales calculator.

Housing (12)

Keyboard (18)

Display (24,26)

Key (32,42)

pp; 9 DwgNo 1/5

Title Terms: SALE; CALCULATE; SALE; TRACK; SYSTEM; FIRST; SECOND; THIRD; DISPLAY; RESPECTIVE; EXHIBIT; TRANSACTION; VALUE; VALUE; CHANGE; VALUE

Derwent Class: T01; T05

International Patent Class (Main): G06K-005/00

File Segment: EPI

10/5/2 (Item 2 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2003 Thomson Derwent. All rts. reserv.

011323084 \*\*Image available\*\*  
WPI Acc No: 1997-300988/199728  
XRPX Acc No: N97-248730

**Transaction processing system for point-of-sale units connected to**

central host - has batch processor in central host which is programmed for automatic dialling by each POS unit, with POS units each having stored conversion table for determining equivalent currency transaction data

Patent Assignee: EASTMAR HOLDINGS LTD (EAST-N); FEXCO (FEXC-N)

Inventor: MURPHY F

Number of Countries: 002 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
IE 71908	B3	19970226	IE 96808	A	19961114	199728 B
GB 2319381	A	19980520	GB 9624050	A	19961119	199822 N
GB 2319381	B	20000830	GB 9624050	A	19961119	200042 N

Priority Applications (No Type Date): IE 96808 A 19961114; GB 9624050 A 19961119

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
IE 71908	B3		23	G07G-001/14	
GB 2319381	A		24	G07G-001/14	
GB 2319381	B			G07G-001/14	

Abstract (Basic): IE 71908 B

The transaction processing system includes a number of point of sale (POS) units and a central host. Each POS unit has a processor, a storage device, a dedicated keyboard having specific point-of-sale function keys, a card reader, a display screen, a receipt printer, and a modem. The central host includes a batch processor connected in a network comprising work stations and a modem pool having a number of serial ports. The batch processor is programmed for automatic dialling by the POS unit. Each POS unit has a stored conversion table and comprises capturing data from a card presented for a transaction. The POS unit determines if the card is authorised and sets an authorisation flag in the storage device. The POS unit **automatically** determines an equivalent **transaction value** in the transaction currency with reference to the conversion table. Transaction data is written to an external record in the storage device, and the local currency value is appended to the transaction data. A receipt is printed if the authorisation flag is positive, otherwise the transaction is aborted.

The central host has a device for receiving a host record data-set from each POS unit, and automatically validates each POS unit with reference to received identification signals. The central host automatically transmits an updated conversion table to the apparatus in the same communications session if the integrity check is positive.

USE/ADVANTAGE - Particularly for card transaction processing at POS units which are geographically remote. Provides for correct and consistent processing at POS units and communication with external systems to ensure transaction data integrity.

Dwg.1/5

Title Terms: TRANSACTION; PROCESS; SYSTEM; POINT; SALE; UNIT; CONNECT; CENTRAL; HOST; BATCH; PROCESSOR; CENTRAL; HOST; PROGRAM; AUTOMATIC; DIAL; POS; UNIT; POS; UNIT; STORAGE; CONVERT; TABLE; DETERMINE; EQUIVALENT; CURRENCY; TRANSACTION; DATA

Derwent Class: T01; T05; W01

International Patent Class (Main): G07G-001/14

International Patent Class (Additional): G06F-017/60; G06F-151/00;

G07F-007/10

File Segment: EPI

12/5/1 (Item 1 from file: 347)  
DIALOG(R)File 347:JAPIO  
(c) 2003 JPO & JAPIO. All rts. reserv.

07139432 \*\*Image available\*\*

**TRADING** SYSTEM AND METHOD VIA **INTERNET** , AND ELECTRONIC RECORDING MEDIUM  
USED THEREIN

PUB. NO.: 2002-007804 [JP 2002007804 A]  
PUBLISHED: January 11, 2002 (20020111)  
INVENTOR(s): YAMANASHI TETSUYA  
APPLICANT(s): JUKI CORP  
APPL. NO.: 2000-188591 [JP 2000188591]  
FILED: June 23, 2000 (20000623)  
INTL CLASS: G06F-017/60

#### ABSTRACT

PROBLEM TO BE SOLVED: To easily place or receive a purchase order for an article or the service in a short time via the Internet.

SOLUTION: A **table** data of articles or the services, and an order placement program for placing an order of an article or a service listed in the **table** data are recorded in an electronic recording medium 1 on an order reception side, and delivered to an order placement side. The order placement side prepares the order according to the order placement program in the recording medium 1, and **transmits** the **order** placement information to the order reception side via the Internet 3. The order placement side compares the returned order reception information with the order placement information, and examines if they agree with each other. In such a configuration, the order placement side can construct the order placement information by the data or the program recorded in the electric recording medium 1 which is already delivered from the order reception side, and thus, the order placement can be easily made in a short time.

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 (c) 2003 American Banker  
 File 268:Banking Info Source 1981-2003/Jul W3  
 (c) 2003 ProQuest Info&Learning  
 File 626:Bond Buyer Full Text 1981-2003/Jul 30  
 (c) 2003 Bond Buyer  
 File 267:Finance & Banking Newsletters 2003/Jul 23  
 (c) 2003 The Dialog Corp.

?ds

Set	Items	Description
S1	4853	(AUTOMAT? OR COMPUTERI? OR ELECTRONIC? OR INTERNET) (5N) (TRADE OR TRADES OR TRADING)
S2	1160	(SUBMIT? OR SUBMISSION? OR TRANSMIT? OR TRANSMISS?) (5N) (ORDER OR ORDERS OR REQUEST OR REQUESTS)
S3	22	((LOOKUP OR LOOK()UP) (1W) (TABLE OR TABLES) OR TABLE()LOOK(-)UP? OR LOOKUP OR LOOK()UP) (5N) (PRICE OR PRICES OR CALCULATION?)
S4	14218	(TABLE? ? OR INDEX? OR MATRIX OR INDEXES OR INDICES OR MATRICES OR LIST? OR RECORD? OR FILE?) (5N) (PRICE OR PRICES OR CALCULATION?)
S5	0	(FAST()TIME OR REAL()TIME OR REALTIME OR AUTOMATIC? OR SIMULTAN? OR DYNAMIC? OR CURRENT? OR PRESENT? OR INSTANT? OR IMMEDIAT? OR INSTANTAN? OR ON(1W)FLY) (3W) (TRANSACTION()VALUE?)
S6	44	S1(S)S2
S7	0	S6(S) (S3 OR S4)
S8	1	S6(S) (TABLE OR TABLES)
S9	22	S6(S) (INDEX? OR MATRIX OR INDEXES OR INDICES OR MATRICES OR LIST? OR RECORD? OR FILE?)
S10	21	S9 NOT S8
S11	14	S10 NOT PY>2000
S12	14	RD (unique items)
S13	0	S6(S) (TRANSACTION()VALUE?)
S14	2	S1(S) (TRANSACTION()VALUE?)
S15	2	S14 NOT (S8 OR S12)
S16	2	RD (unique items)

8/3,K/1 (Item 1 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04602187

**FIXML Set for Boost from FPL Working Group**

John Sandman

Security Industry News

July 21,2003 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1131

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...tool for the job." XSLT 2.0 was released in June.

John Goeller, VP of **electronic trading** at Lehman Brothers and co-chairman of the FIXML schema working group, acknowledged that XSLT...

...Web site."

The solution, Goeller said, "was parsing in one format and developing a mapping **table** , then reformatting in another. There's a variety of ways you can do that. On...

...formats for encoding messages sent on the wire. Semantically, an equity order is an equity **order** whether it's **transmitted** via XML or tag-equals-value."

In addition, the International Swaps and Derivatives Association and...  
?

12/3,K/1 (Item 1 from file: 625)  
DIALOG(R)File 625:American Banker Publications  
(c) 2003 American Banker. All rts. reserv.

0043412

**Amex Linked to Toronto**

American Banker - September 25, 1985, Wednesday; Pg. 3  
WORD COUNT: 74

BYLINE:

Compiled by Gordon F. Light

TEXT:

... linked with the Toronto Stock Exchange, enabling American and Canadian traders to directly trade dual-**listed** issues. The connection has been called the first two-way international **electronic trading** link between two primary equity markets. **Orders** will be **transmitted** between the two **trading** floors using the existing **automated** routing systems which now include a **computerized** currency conversion system to accommodate **trading** in both U.S. and Canadian dollars.

12/3,K/2 (Item 1 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04568950

**At Deadline**

Select Reporter

Traders

July 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 676 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...the retail brokerage, according to desk head Larry Elmore. Only three of 31 Nasdaq and **listed** traders took posts with PaineWebber. The rest have either departed or will leave after a...

...commander, E.E. "Buzzy" Geduld, will remain at the helm. He will co-head global **electronic** equity **trading** with Merrill's Thomas Joyce. Geduld sees opportunities overseas. "With the backing of Merrill Lynch...

...others' bids or take each others' offers. SunGard also plans to link STN to all **electronic** alternative **trading** systems.

STN is used now by agency desks to **transmit** their retail **orders** to market makers for execution. Greifeld says STN handles about 250,000 trades per day...

12/3,K/3 (Item 2 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04566988

**Special Feature: Fragmented Dealing Under Fire**

Peter Chapman

Traders

May 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 1456 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...the OTC market. Most either internalize or pay for their order flow. Wirehouses internalize when **orders** are **transmitted** from registered representatives in the front office to the firm's dealing desk. Wholesalers and...Nasdaq's replacement for SelectNet, changes things. Madoff, who is chairman of the SIA's **trading** committee, says its **automatic** execution feature will eliminate the excuse that the better quote was inaccessible.

SuperSOES isn't...exchange floor. If it goes down, dealers are expected to step up their internalization of **listed** stocks. With that in mind, the SEC issued its concept release on fragmentation jointly with...

12/3,K/4 (Item 3 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04561151

**Trouble in Trumbull? Nasdaq is facing one of its toughest challenges since its inception in 1971 as the futuristic electronic dealer market. Some charge that its technology is prone to trouble while competitors are beating it. Can Nasdaq fight back from its Trumbull tech hub?**

Peter Chapman

Traders

January 1,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 2277

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

On November 17th, during the last 20 minutes of trading on a day that saw **record** volume, Nasdaq's SelectNet system crashed. It was a black eye for Nasdaq, but a...

...problem at Nasdaq. Its systems are creaky and it's losing market share to alternative **trading** systems. **Electronic** communications networks, with the help of portal technology from Austin, Texas-based CyberCorp.com, New...strain on the SelectNet system.

Super SOES is a revamped SOES that would convert Nasdaq **trading** from part manual and part **electronic** to more fully electronic. Nasdaq hopes to debut Super SOES early this year.

For the first time market makers will be permitted to execute **trades automatically** against each other on the SOES trading network. Since its introduction in 1984, SOES use...to the wayside. As more trading is done directly with ATSS and between ATSSs, fewer **orders** will be **transmitted** via the SelectNet pipeline.

More trading will gravitate away from Nasdaq systems to ATSSs if...

...to transact.

ATSSs have taken the lead in giving the buy-side what it wants. Eight **electronic trading** systems account for the lion's share of trades and most are linking up in...

12/3,K/5 (Item 4 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04555659

**Short Takes**

Mary Schroeder

Web Finance

September 6,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING



(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

## TEXT:

...access to Wit's research.

Waterhouse to Enter Hong Kong

TD Waterhouse plans to launch **electronic trading** in Hong Kong early next year. The **electronic trading** will include **trading** via mobile phone and the **Internet**. The TD Waterhouse Group, which is 88.5% owned by Toronto-Dominion Bank, has operations...

...to the E\*Trade Funds product offering, which currently consists of an S&P 500 **Index** fund.

The two funds are the E\*Trade E-Commerce **Index** Fund, which is based on the Goldman Sachs E-Commerce **index**, and the E\*Trade International **Index** Fund, which aims to match the performance of the EAFE (Europe, Australia, Far East) **Index**. The new funds will be advised by E\*Trade Asset Management.

Fidelity to Offer FBR...be a general partner, and will build a small research team focused on identifying new **Internet** investment opportunities.

MarketXT, formerly Eclipse **Trading**, launched its after-hours trading service for individual investors. The service is accessible through Discover...

...customer orders. It will also "provide access to a dedicated Web site where customers can **submit** multiple **orders** while still having access to Schwab.com (simultaneously if necessary)," according to Scott Appleby, an ...arrangement with Instinet beginning this month. E\*Trade will offer after-hours trading in both **listed** and Nasdaq stocks from 4 p.m. through 6:30 p.m., Eastern Time.

Ameritrade...

...twice the Internet-based revenue for fiscal 1998.

Web Street, parent of Web Street Securities, **filed** a registration statement for an offering of up to \$50 million of common stock.

12/3,K/6 (Item 5 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04547637

**Moving Market Data Without Interruption What's the Fixation on Straight-Through Processing?**

Monica Simms

Mutual Fund Market News

March 15,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1000

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

## TEXT:

...contrast to a cumbersome paper-intensive environment, STP signically helps a desk to store historical **records**. With STP, information captured on an order-management system and trade blotter, for instance, can...

...American Century Investment Management. Firms use virtually impenetrable encryption, authentication and other technologies for security.

**Trading** desks are increasingly using the **Internet** for sending e-mails, and for **transmitting** trade **orders** and indications of interest.

But Internet-based processing remains limited to some frontoffice activities, while...

12/3,K/7 (Item 6 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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00033702

**Nasdaq dealers seek to delay audit trail system mandated by SEC**  
Investment Dealers' Digest  
October 13, 1997 VOL: 63 ISSUE: 41 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: INVESTMENT DEALERS DIGEST  
LANGUAGE: ENGLISH WORD COUNT: 764 RECORD TYPE: FULLTEXT

(c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

TEXT:

...the-counter equities markets. But market-makers say they and the NASD have a laundry **list** of systems priorities that would make it difficult to meet the Aug. 1998 deadline for...

...systems work could begin," wrote Madoff, who heads a large third-market firm for trading **listed** and unlisted securities.

The first phase of Oats, scheduled for start-up in August, would apply to electronic orders that are captured in an **automated** order-entry and **trading** system. The second phase, for orders received at a **trading** desk by other than **electronic** means and executed the same day, would take effect on Jan. 1, 1999, while all...

...and execution of orders, is meant to provide the NASD with an accurate time-sequenced **record** of orders and transactions.

Market-makers asked the SEC to delay the first phase of...compliant execution to broker-dealers as part of their "one-stop shopping" service, unfairly corraling **orders** that normally could be **transmitted** to other market-makers. "Correspondents will be unable to execute orders away from the clearing firm because the cost of building an automated system to **record** and transmit the data elements to the NASD will be prohibitive," the letter says. It...

12/3,K/8 (Item 7 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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00030028

**NYSE objects to OptiMark plan in SEC letter**  
Investment Dealers' Digest  
August 11, 1997 VOL: 63 ISSUE: 32 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: INVESTMENT DEALERS DIGEST  
LANGUAGE: ENGLISH WORD COUNT: 671 RECORD TYPE: FULLTEXT

(c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

TEXT:

The New York Stock Exchange has come out swinging against OptiMark, a nascent **electronic** crossing system whose equity **trades** would be **automatically** executed on the Pacific Exchange (PCX).

In a letter asking the Securities and Exchange Commission...

...leak out to brokers and floor specialists.

OptiMark says its customers would be able to **submit orders** anonymously from their own computer terminals indicating not only size and price, but also their...

...day, but not continuously.)

The NYSE urged the SEC to require the rival exchange to **file** additional rule changes that would spell out the floor communities' obligations to

public customers whose...

12/3,K/9 (Item 8 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters  
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00009591

**Internet Crime, What a tangled web.**

Euromoney Magazine

October 00, 1996 PAGE: 85, 088 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: EUROMONEY ELECTRONIC PUBLICATIONS

LANGUAGE: ENGLISH WORD COUNT: 3870 RECORD TYPE: FULLTEXT

(c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

TEXT:

...an analysis of the header to figure out where it came from. Some complaints even **list** the securities violations and do a legal analysis."

The self-policing seems to be part...

...concerned, undecided

questions include whether Internet exchanges should be regulated, and whether brokers and issuers **trading** on the **Internet** should be registered with the SEC.

Hollobone says the SIB already has memoranda of understanding...of security".

Once the money leaves the banking system it has to become anonymous in **order** to be secure enough for **transmission** over the Internet.

"Any highly efficient anonymous payment system operating outside of the banks is...

...s Morris. To combat money-laundering, for example, the US government has required banks to **file** reports and maintain paper trails. This and the "know your customer" rule that banks are...

12/3,K/10 (Item 9 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters  
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00003226

**TREASURY MANAGER'S REPORT BRIEFS**

TREASURY MANAGER'S REPORT

April 11, 1997 VOL: 5 ISSUE: 8 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 558 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...Swap.

New York-based J.P. Morgan introduced a pound sterling swap to its overnight **indexed** swap (OIS) product line. Overnight swaps are a vehicle to manage short-term interest rate risk and provide an overnight interest bearing investment. The **index** for the sterling OIS -- the sterling overnight **indexed** average (SONIA) -- will be calculated by the British Bankers' Association and will be the daily...

...fee schedule,

banks that send less than 2,500 ACH items will be assessed a **file** fee of \$1.75 and a per-item fee of \$0.009. Fed bank customers that send more than 2,500 items will be assessed a **file** fee of \$6.75 and a per-item fee of \$0.007. The cost to...

...can serve as Internet service provider as well, although that's not required. Using the **Internet** as a gateway allows **trading** partners to exchange **electronic** data interchange (EDI) documents without sharing a direct connection. The transfer of data to Sterling...

...a Web-based catalog and ordering system. Using a Web browser, trading partners can exchange **order** information **transmitted** via EDI. (Dave Kishler, Sterling Commerce, 614/793-4020.)

CheckFree To Market WireNext.  
Atlanta-based...

12/3,K/11 (Item 10 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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00002390

**INTERNET SOLUTIONS PROMISE OUTSOURCING OPPORTUNITIES**  
FINANCIAL SERVICES REPORT  
November 6, 1996 VOL: 13 ISSUE: 23 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: PHILLIPS BUSINESS INFORMATION  
LANGUAGE: ENGLISH WORD COUNT: 1284 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...many-to-many partners, and ... EDI remains the most efficient approach to many-to-many **trading**," counters Dennis Freeman, director of **Internet**-related electronic services for Atlanta-based Harbinger Corp., a value-added network (VAN) provider that...

...pointing and clicking with a mouse. The supplier receives the digital equivalent of a purchase **order**, **transmitted** using the Internet's TCP/IP protocol. That data can be integrated directly into the...manages the Web site catalog content and arranges for payment.

On-line customers get an **order** confirmation number **transmitted** through their Web browsers. Using the FedEx tracking system, customers can punch in their order...

...possibility, which also is a nonintegrated solution, is to transfer the data in a batch **file** on a disc from the order

12/3,K/12 (Item 11 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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00002337

**MELLON OFFERS FINANCIAL EDI OVER THE INTERNET Bell Atlantic Test Secure FEDI Over Open Network**  
CORPORATE EFT REPORT  
October 30, 1996 VOL: 16 ISSUE: 21 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: PHILLIPS BUSINESS INFORMATION  
LANGUAGE: ENGLISH WORD COUNT: 631 RECORD TYPE: FULLTEXT

TEXT:

...that the message is delivered to the person or trading partner that they intended to **trade** it to."

Mellon's **Internet** service provider is Washington, D.C.-based MCI Communications Corp. The bank also has installed...vendor payments and employee reimbursements will be real-dollar transactions. PositivePay information is a data **file** that contains check information for checks that have been printed by Bell Atlantic. It is...

...as a security device for the bank to validate check amounts. Mellon expects customers to **transmit** invoices, purchase **orders**, direct deposit of payroll and electronic tax information to be transmitted via the Internet, as...

12/3,K/13 (Item 12 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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00002300

**INTERNET SOLUTIONS OFFER OUTSOURCING OPPORTUNITIES EDI Just One of Many On-Line Options**

TREASURY MANAGER'S REPORT

October 25, 1996 VOL: 4 ISSUE: 22 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 1444 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...many-to-many partners, and ... EDI remains the most efficient approach to many-to-many **trading**," counters Dennis Freeman, director of **Internet**-related electronic services for Atlanta-based Harbinger Corp., a value-added network (VAN) provider that...

...pointing and clicking with a mouse. The supplier receives the digital equivalent of a purchase **order**, **transmitted** using the Internet's TCP/IP protocol. That data can be integrated directly into the...manages the Web site catalog content and arranges for payment.

On-line customers get an **order** confirmation number **transmitted** through their Web browsers. Using the FedEx tracking system, customers can punch in their order...possibility, which also is a nonintegrated solution, is to transfer the data in a batch **file** on a disc from the order entry system into the accounting package.

The pricing for...

12/3,K/14 (Item 13 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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00000699

**INTERNET HOLDS PROMISE OF USER-FRIENDLY EDI New Products, Standards Under Development**

TREASURY MANAGER'S REPORT

April 26, 1996 VOL: 4 ISSUE: 9 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 1364 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

Small- to mid-sized firms pressured by larger **trading** partners to adopt **electronic** data interchange (EDI) would do well to stay on the sidelines until Internet-based applications...

...for payment are automatically plucked from the accounts payable database, translated into an EDI payment **file**, encrypted, digitally signed and sent off to Bank of America, says Rhodes.

The bank's system automatically sends back a message indicating a **file** was received and follows that with a more detailed acknowledgement. Livermore's system compares what...directly into the corporate accounting system and at the same time translated into EDI purchase **orders** and **transmitted** to vendors either via the GEIS VAN or the Internet.

On its own, GEIS will...

...been a proliferation of consortia to that end.

Two new names were added to the **list** this month.

Menlo Park, Calif.-based CommerceNet and the World Wide Web Consortium at the...

?

16/3,K/1 (Item 1 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
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04599699

**GTCR Looks To Put PE Into Public Market: New Rumors Swirling About Possible Deal For Amex**

Ken MacFadyen

Buyouts

April 28,2003 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 492 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...selling its American Stock Exchange subsidiary to private equity firm GTCR Golder Rauner in a **transaction valued** at roughly \$100 million. The news comes at a time when many in the public...

...GTCR were to acquire the exchange, the firm would attempt to move the Amex's **trading** through **electronic** channels, mirroring the shift that has taken place in much of the exchange industry.

The...

16/3,K/2 (Item 2 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
(c) 2003 The Dialog Corp. All rts. reserv.

04591586

**Exchange Idea Still Fighting Uphill Battle**

Leslie Green

Venture Capital Journal

July 1,2002 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 2128 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...sees things differently. NYPPe, a Greenwich, Conn.-based private securities specialist with an online and **electronic trading** platform, wants to provide liquidity to its clients in any way it can. The company... Knight Trading Group, and NYPPe had earmarked up to \$25 million to build out an **Internet**-based **trading** network. The plan was for the company to act as a back office for the...

...through NYPPe would pay the exchange a fee of between 1% and 4% of the **transaction value**.

But what a difference a year makes. NYPPe has by no means given up on...

File 344:Chinese Patents Abs Aug 1985-2003/Mar  
(c) 2003 European Patent Office  
File 347:JAPIO Oct 1976-2003/Mar(Updated 030703)  
(c) 2003 JPO & JAPIO  
File 350:Derwent WPIX 1963-2003/UD,UM &UP=200348  
(c) 2003 Thomson Derwent  
File 348:EUROPEAN PATENTS 1978-2003/Jul W03  
(c) 2003 European Patent Office  
File 349:PCT FULLTEXT 1979-2002/UB=20030724,UT=20030717  
(c) 2003 WIPO/Univentio

?ds

Set	Items	Description
S1	7	AU='MARYNOWSKI':AU='MARYNOWSKI T'
S2	0	S1 AND TRADING?
S3	28	AU='VOINESCU A':AU='VOINESCU T'
S4	0	S3 AND (TRADE? OR TRADING?)
S5	30	AU='PUSCASU':AU='PUSCASU V'
S6	0	S5 AND (TRADE? OR TRADING?)
?		



File 2:INSPEC 1969-2003/Jul W3  
 (c) 2003 Institution of Electrical Engineers  
 File 35:Dissertation Abs Online 1861-2003/Jun  
 (c) 2003 ProQuest Info&Learning  
 File 65:Inside Conferences 1993-2003/Jul W4  
 (c) 2003 BLDSC all rts. reserv.  
 File 99:Wilson Appl. Sci & Tech Abs 1983-2003/Jun  
 (c) 2003 The HW Wilson Co.  
 File 233:Internet & Personal Comp. Abs. 1981-2003/Jun  
 (c) 2003 Info. Today Inc.  
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
 (c) 2002 The Gale Group  
 File 474:New York Times Abs 1969-2003/Jul 29  
 (c) 2003 The New York Times  
 File 475:Wall Street Journal Abs 1973-2003/Jul 28  
 (c) 2003 The New York Times

?ds

Set	Items	Description
S1	12550	(AUTOMAT? OR COMPUTERI? OR ELECTRONIC? OR INTERNET) (5N) (TRADE OR TRADES OR TRADING)
S2	6301	(SUBMIT? OR SUBMISSION? OR TRANSMIT? OR TRANSMISS?) (5N) (ORDER OR ORDERS OR REQUEST OR REQUESTS)
S3	120	((LOOKUP OR LOOK()UP) (1W) (TABLE OR TABLES) OR TABLE()LOOK(-)UP? OR LOOKUP OR LOOK()UP) (5N) (PRICE OR PRICES OR CALCULATION?)
S4	35819	(TABLE? ? OR INDEX? OR MATRIX OR INDEXES OR INDICES OR MATRICES OR LIST? OR RECORD? OR FILE?) (5N) (PRICE OR PRICES OR CALCULATION?)
S5	3	(FAST()TIME OR REAL()TIME OR REALTIME OR AUTOMATIC? OR SIMULTAN? OR DYNAMIC? OR CURRENT? OR PRESENT? OR INSTANT? OR IMMEDIAT? OR INSTANTAN? OR ON(1W) FLY) (3W) (TRANSACTION()VALUE?)
S6	19	S1 AND S2
S7	0	S6 AND (S3 OR S4)
S8	0	S6 AND S5
S9	0	S1 AND S5
S10	15	S1(5N) (TABLE OR TABLES)
S11	14	S10 NOT PY>2000
S12	14	RD (unique items)
S13	0	S1 AND (TRANSACTIONAL()VALUE?)
S14	0	S1 AND (FAST()TIME?)
S15	3	S5 NOT S12
S16	3	RD (unique items)
?		

12/5/1 (Item 1 from file: 233)  
DIALOG(R)File 233:Internet & Personal Comp. Abs.  
(c) 2003 Info. Today Inc. All rts. reserv.

00580838 00IY03-306

**Net stock fraud, without the Net**

Barnett, Megan

Industry Standard, The , March 27, 2000 , v3 n11 p73, 1 Page(s)

ISSN: 1098-9196

Languages: English

Document Type: Articles, News & Columns

Geographic Location: United States

Reports that the Securities and Exchange Commission (SEC) sent a tacit message that chat rooms and electronic message boards are no longer exempt from government scrutiny, when it arrested 19 individuals on charges of garnering wealth through illegal trading and online stock fraud. Mentions the charge brought against John Freeman and his cohorts of having made eight million dollars on insider trading. Explains that Freeman, during his stint at Goldman Sachs, wrote in a stock chat room that he had access to insider merger and acquisition activity. Indicates that two persons who responded to Freeman promised to give him ten percent of any profits that Freeman's stock tips generated. Says the SEC is describing the case as the ``great Internet Insider Trading Scam.'' Includes one table. (MEM)

Descriptors: Stock Sale; Crime; Federal Government; Investment; Government Regulation; Law Enforcement; Chat Rooms

12/5/2 (Item 1 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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09381555

Games retailer upbeat

UK: ELECTRONIC BOUTIQUE ANNOUNCES RESULTS

The Times (TS) 11 Oct 2000 p.33

Language: ENGLISH

Electronic Boutique, the games console and software retailer, has unveiled its interim results to 31 July 2000, revealing higher turnover and a doubling of pre-tax losses. The company dismissed the figures however, arguing that the launch of PlayStation 2 and the release of new consoles from Nintendo and Microsoft would result in improved trading in the second half. Table : Electronic Boutique Figures in GBt mn

	Current	Previous/Change	Turnover	114.2	79.5	43.64%	Pre-tax
Profits	(6.54)	(3.26)					

COMPANY: ELECTRONIC BOUTIQUE

EVENT: Company Reports & Accounts (83);

COUNTRY: United Kingdom (4UK);

12/5/3 (Item 2 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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09295747

Premier Farnell profits up by 9%

UK: PREMIER FARNELL POSTS PRE-TAX PROFIT GAIN

Independent (TI) 26 May 2000 p. 25

Language: ENGLISH

Premier Farnell posted higher first quarter 2000 pre-tax profits on growth in sales. The distributor of electronic components has established UK and US electronic platforms and believes it is ideally positioned to capitalise

on solid trading in electronics . Table : Premier Farnell Figures in  
GBt mn Current Previous/Change Sales 210 - 12% Pre-tax profits - - 8.7%  
\*

COMPANY: PREMIER FARNELL

PRODUCT: Current Carrying Wiring Eqp (3643);  
EVENT: Company Reports & Accounts (83);  
COUNTRY: United Kingdom (4UK);

12/5/4 (Item 3 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
(c) 2002 The Gale Group. All rts. reserv.

09247945  
Axon beats the bug  
UK: STRONG RESULTS FROM AXON  
The Times (TS) 08 Mar 2000 p.30  
Language: ENGLISH

Computer software services concern Axon <UK> has announced an 84.61% increase in pre-tax profits for 1999, despite a millennium bug-related slowing in business towards the end of the year. The group is to go into partnership with WH Smith in the creation of a business-to-business Internet trading platform for retailers. Table : Axon Figures in GBt mn (1999) Current Previous/Change Turnover 25.4 20 27% Pre-tax Profits 4.56 2.47 84.61%

COMPANY: WH SMITH; AXON

PRODUCT: Retail Trade (5200); Writing & Printing Paper (2621WP); Stationery (2648ST); Book Publishing (2731);  
EVENT: Company Reports & Accounts (83); Company Formation (14);  
COUNTRY: United Kingdom (4UK);

12/5/5 (Item 4 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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09240111  
Royal Blue surprises with cash return  
UK: ROYAL BLUE TO RETURN CASH TO INVESTORS  
Daily Telegraph (DT) 15 Feb 2000 p.32  
Language: ENGLISH

Royal Blue, the UK based financial software group, has announced that it is to return cash to its investors in the form of a GBt 0.10 per share special dividend. The company's shares have increased in value fourfold since October 1999, and the company is therefore more than able to return some surplus to shareholders. Royal Blue has also announced its results for the previous year, although those for the current year are expected to be flat as the company intends to invest between GBt 5mn and GBt 6mn in a new product called fidessaNet. This new product will enable banking customers to integrate their own trading systems with rival electronic stock exchanges. Table : Royal Blue Figures in GBt mn Current Previous/Change Turnover 39.7 Pre-tax Profit 6.5 44%

COMPANY: ROYAL BLUE

EVENT: Product Design & Development (33); Company Financial Data (80); Planning & Information (22); Company Reports & Accounts (83);  
COUNTRY: United Kingdom (4UK);

12/5/6 (Item 5 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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09070470

Merrill chief takes 11% cut in pay  
US: PROFITS DOWN SHARPLY AT MERRILL  
Financial Times (FT) 8 Mar 1999 p.23  
Language: ENGLISH

In every year from 1989 to 1998 the largest equity/debt offering underwriter in the world has been the US brokerage/investment bank Merrill Lynch. However, its profits fell sharply due to the problems surrounding the Long Term Capital Management hedge fund and the Russian debt default. The bank is also struggling to compete in the **internet**-based share **trading** market. **Table** : Merrill Lynch Figures in US\$ mn  
Current Previous Pre-tax Profits 1.26 1.94  
(c) Financial Times 1999

COMPANY: MERRILL LYNCH

PRODUCT: Securities & Commodities Exchanges (6230); Securities Dealers (6211); Debt & Equity Securities (E5640); Commercial Banks (6020); Banking Institutions (6010);  
EVENT: Company Reports & Accounts (83);  
COUNTRY: United States (1USA);

12/5/7 (Item 6 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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06683452

Measures taken to strengthen securities and futures market  
HONG KONG: GOVT LAUNCHES 30-POINT MEASURES  
The HongKong Standard (XKR) 08 Sep 1998 p.b2  
Language: ENGLISH

The Government announced to launch 30-point measures to strengthen the order and transparency of Hong Kong's stock and future markets. The 30-point measures involves strong participation from HK Stock Exchange, HK Clearing, HK Futures Exchange and Securities and Futures Commission, Financial Service Bureau. HKSE - Co-ordinate with SFC to investigate cases that fail to comply T+2 rules. -Impose heavy penalties on repeated offenders of T-2 rule. -Re-introduce uptick rules to reduce the pressure of short selling on market prices. -Review the list of eligible companies in short selling. HK Clearing Co -Must strictly carry out T+2 rule. -Impose penalty charges, defaults fees and trade suspension on defaulting brokers. -Carry settlement obligations for defaulting brokers via buy-in on T-3. HKFE -Enforce rules of trade limit with regard to brokers capital - Disclose real time information of large open interests to SFC. -Impose high margin cost on 5,000 contracts. -Draft up time **table** for changing HSI Futures **trading** to **automatic trading** system - Co-ordinate with SFC to set up a early warning system for cross market. SFC -Responsible for enforcement and prosecution of illegal short selling rules. -Amend rules to increase short selling penalties. It suggest HK\$100,000 and 2-year imprisonment. -Draft up legislative proposal for making unreported and false report of short selling as criminal offence. -Evaluate to alternatives how all investors open accounts with Central Clearing and Settlement System. Financial Service Bureau -Co-ordinate study on regulations of stock lending and borrowing to increase transparency. -Study how to increase government responsiveness to market crisis. It suggest to increase Chief Executive power to two exchanges and clearing houses. \*

COMPANY: FINANCIAL SERVICE BUREAU; SECURITIES & FUTURES COMMISSION; HK  
FUTURES EXCHANGE; HK CLEARING; HK STOCK EXCHANGE

PRODUCT: Securities & Commodities Exchanges (6230); Securities Dealers (6211); Debt & Equity Securities (E5640);  
EVENT: Government Domestic Functions (97); National Government Economics (94);  
COUNTRY: Hong Kong (9HON);

12/5/8 (Item 7 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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06505845  
Semiconductors, silicon wafers back on recovery trend  
JAPAN: JAPANESE ELECTRONICS TRADE IN 1996  
Japan Chemical Week (JCW) 31 Jul 1997 P. 4  
Language: ENGLISH

According to the Japanese Ministry of Finance, total imports of under the Japanese electronics industry for 1996 showed an increase of 33.4% while for exports, the percentage of increase was just 3%. **Table : Trade in Electronics Industry, 1996 Figures in v 1 mn Import % Export % Industrial**  
1,999,329 39.5 3,030,506 2.8 electronics Associated 1,476,391 39.4  
1,954,418 7.0 electronics Electric 117,954 33.9 259,486 5.9 measuring OA  
equipment 52,522 49.4 335,619 3.0 Communications 352,462 40.4 480,983  
(12.5) Consumer 410,437 23.2 1,283,020 (2.3) electronics Components/  
2,879,063 31.0 7,638,132 4.1 devices Machinery 1,128,891 40.4 3,022,356 8.2  
parts Components 353,165 25.4 1,346,133 5.1 Devices 1,397,080 25.5  
3,269,643 0.1 Total 5,288,829 33.4 11,951,657 3.0

PRODUCT: Electrical & Electronic Equip (3600);  
EVENT: Foreign Trade (64);  
COUNTRY: Japan (9JPN);

12/5/9 (Item 8 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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06078696  
Surplus increase for Stock Exchange  
UK: STOCK EXCHANGE REPORTS HIGHER SURPLUS  
The Times (TS) 22 Nov 1994 p.26  
Language: ENGLISH

The transfer of clients to new Topic information services on Telekurs and ICV led to a fall in revenue at the Stock Exchange in the first half to 30 September 1994. The move to subcontracting screen-based services has been criticised by some members, who believe annual income will fall as a result. However, the Stock Exchange has now recovered from the troubled Taurus paperless trading system, for which is made its final provisions at the end of 1993. The Stock Exchange had a surplus of GBP 13mn in the half, up from GBP 1.9mn in the year earlier period, which will allow it to invest in the new **computerised trading** system, Sequence. **Table : Stock Exchange Figures in GBP mn . Current Previous/Change Operating costs 77.5 - 13% Income 97.8 98.1 -0.30%**

COMPANY: STOCK EXCHANGE

PRODUCT: Securities & Commodities Exchanges (6230); Securities Dealers (6211); Debt & Equity Securities (E5640);  
EVENT: Company Reports & Accounts (83);  
COUNTRY: United Kingdom (4UK);

12/5/10 (Item 9 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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01180310  
CONTROL SECTOR EXPORTS DOING WELL  
UK - CONTROL SECTOR EXPORTS DOING WELL  
Control Systems (CLS) 0 June 1987 p27  
ISSN: 0010-9258

An extended article looks at how UK exports in the control sector have been making good headway in many overseas markets. It says the trend is likely to continue, and provides **tables** on **trade** in **electronic** control units, **electronic** instruments, electro- mechanical control units, electrical instruments and parts. Competition in the domestic market is increasing however, and US companies are increasing their penetration in the UK market.

PRODUCT: Industrial Controls (3622); Measuring & Control Instruments (3820);  
EVENT: MARKET & INDUSTRY NEWS (60);  
COUNTRY: United Kingdom (4UK); OECD Europe (415); NATO Countries (420);  
South East Asia Treaty Organisation (913);

12/5/11 (Item 1 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2003 The New York Times. All rts. reserv.

07430587 NYT Sequence Number: 641006960530  
**STOCKS FOLLOW BONDS LOWER, WITH DOW FALLING 35.84 POINTS**  
Reuters  
New York Times, Col. 3, Pg. 8, Sec. D  
Thursday May 30 1996  
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English  
RECORD TYPE: Abstract

ABSTRACT:

Dow Jones industrial average falls 35.84 points to 5,673.83 on New York Stock Exchange trading of 346.7 million shares; bigger drop during day triggers exchange's curbs on **computerized trading ; table** (M)

SPECIAL FEATURES: Table

DESCRIPTORS: Dow Jones Stock Average; Computers and Information Systems

12/5/12 (Item 1 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2003 The New York Times. All rts. reserv.

07983000 NYT Sequence Number: 000000980601  
**LONDON FUTURES TRADERS FACE ELECTRONIC THREAT**  
CUMMINS, CHIP  
Wall Street Journal, Col. 1, Pg. 19, Sec. C  
Monday June 1 1998  
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English  
RECORD TYPE: Abstract

ABSTRACT:

Commodities column London International Financial Futures and Options Exchange floor traders are looking for work with other exchanges, and the small firms that employ them are looking for traders with electronic-trading experience after Liffe announced it would speed up move

to full-time **electronic trading** ; graph, **table** (M)

SPECIAL FEATURES: Table

COMPANY NAMES: LONDON INTERNATIONAL FINANCIAL FUTURES AND OPTIONS ECHANGE

DESCRIPTORS: COMPUTERS AND INFORMATION SYSTEMS; LABOR

PERSONAL NAMES: CUMMINS, CHIP

**12/5/13 (Item 2 from file: 475)**

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2003 The New York Times. All rts. reserv.

07956932 NYT Sequence Number: 000000971020

**LONDON LAUNCHES BIG BANG II SYSTEM FOR SHARE TRADING**

Calian, Sara

Wall Street Journal, Col. 4, Pg. 20, Sec. A

Monday October 20 1997

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Big Bang II goes off at London Stock Exchange today as exchange launches electronic, order-driven trading system similar to those in place on most European bourses; Stock Exchange **Electronic Trading Service** described; graph, **table** (M)

SPECIAL FEATURES: Table

COMPANY NAMES: London Stock Exchange

DESCRIPTORS: STOCKS AND BONDS; COMPUTERS AND INFORMATION SYSTEMS

PERSONAL NAMES: Calian, Sara

GEOGRAPHIC NAMES: GREAT BRITAIN

**12/5/14 (Item 3 from file: 475)**

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2003 The New York Times. All rts. reserv.

04758647

**ELECTRONICS MARKET**

Wall Street Journal, Col. 3, Pg. 29, Sec. 1

Thursday April 3 1986

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

**Table** lists top **electronics trading** partners of US in 1985 (S)

SPECIAL FEATURES: Table

DESCRIPTORS: ELECTRONICS; INTERNATIONAL TRADE AND WORLD MARKET; OUS INTL  
TRADE

?

. 16/5/1 (Item 1 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2003 The New York Times. All rts. reserv.

07757166 NYT Sequence Number: 990442000225

**MARTHA STEWART TO BUY BACK SOME SHARES FROM TIME INC**

Dow Jones

New York Times, Col. 1, Pg. 4, Sec. C

Friday February 25 2000

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

**ABSTRACT:**

Martha Stewart Living Omnimedia Inc to repurchase about 1.4 million of its shares from Time Warner's Time Inc for \$23.79 each--below **current** market value--in **transaction valued** at \$32.5 million; Time Inc will retain 1.22 million shares for about three years; Time Inc also agrees to extend terms of its magazine distribution, fulfillment and service agreements with Martha Stewart for additional three years (S)

COMPANY NAMES: Martha Stewart Living Omnimedia Inc; Time Inc

DESCRIPTORS: Mergers, Acquisitions and Divestitures

PERSONAL NAMES: Stewart, Martha

16/5/2 (Item 2 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2003 The New York Times. All rts. reserv.

07533473 NYT Sequence Number: 870064970628

**PHILIP MORRIS PLANS MOVES IN MEXICO**

New York Times, Col. 1, Pg. 48, Sec. 1

Saturday June 28 1997

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

**ABSTRACT:**

Philip Morris International plans to increase its stake in its Mexican licensee to 50 percent from its **current** 29 percent in **transaction valued** at \$400 million; licensee, Cigarros la Tabacalera Mexicana SA, also known as Cigatam, is a division of Grupo Carso SA; Philip Morris spokeswoman says that Mexico represents lucrative market for cigarette makers because it has annual average consumption of 47 billion cigarettes (S)

COMPANY NAMES: Cigarros La Tabacalera Mexicana Sa; Philip Morris Companies

Inc; Grupo Carso; Philip Morris Companies Inc

DESCRIPTORS: Mergers, Acquisitions and Divestitures; Smoking and Tobacco

GEOGRAPHIC NAMES: Mexico

16/5/3 (Item 3 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2003 The New York Times. All rts. reserv.

00544036 NYT Sequence Number: 111066741126

**Sterling Drug enters competing bid for Bio-Dynamics Inc that provides for shareholders of Bio-Dynamics to receive 0.69 share of Sterling for each share of Bio-Dynamics . Transaction , valued at \$36-million in Sterling stock, overshadows cash offer of \$13 a share made recently by ABM Corp, subsidiary of Boehringer Mannheim GMBH of W Ger. Bio-Dynamics has agreed to dismiss action seeking to enjoin ABM Corp tender offer and ABM is free to proceed with its offer (S).)**

KOSHETZ, HERBERT

New York Times, Col. 4, Pg. 61



Tuesday November 26 1974

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

COMPANY NAMES: ABM CORP; BIO-DYNAMICS INC; BOEHRINGER MANNHEIM GMBH (W GER  
CO); STERLING DRUG INC

DESCRIPTORS: MERGERS, ACQUISITIONS AND DIVESTITURES

PERSONAL NAMES: KOSHETZ, HERBERT

(FILE 'HOME' ENTERED AT 15:49:00 ON 30 JUL 2003)

FILE 'CONFSCI' ENTERED AT 15:49:07 ON 30 JUL 2003

L1 5 S (AUTOMAT? OR COMPUTERI? OR ELECTRONIC? OR INTERNET) (5N) (TRADE

L1 ANSWER 1 OF 5 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 2001:8202 CONFSCI  
 DN 01-008202  
 TI **Automatic** estimation of the **trade-off** parameter in  
 nonlinear inverse problems using the GCV and L-curve criteria  
 AU Farquharson, C.  
 CS Douglas Oldenburg, UBC-Geophysical Inversion Facility  
 SO The Society of Exploration Geophysicists, 8801 South Yale, Tulsa, OK  
 74137-3575, USA; phone: 918 497 5500; fax: 918 497 5557; email:  
 web@seg.org; URL: www.seg.org. Paper No. EM 2.2.  
 Meeting Info.: 000 5237: SEG/Calgary 2000 Exposition and 70th Annual  
 Meeting (0005237). Calgary (Canada). 6-11 Aug 2000. The Society of  
 Exploration Geophysicists.  
 DT Conference  
 FS DCCP  
 LA English

L1 ANSWER 2 OF 5 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 2000:69834 CONFSCI  
 DN 00-066705  
 TI FILIGRANE (Flexible IPR for software agent reliance) protocol - A security  
 protocol for **trading** of mobile code in **Internet**  
 AU Jalali-Sohi, M.  
 SO The International Institute of Informatics and Systemics, SCI'2000, 7525  
 Karlov Avenue, Skokie, IL 60076, USA; fax: 407-856-6274; email:  
 WMSCI2000@aol.com; URL: http://www.iiis.org/isas/.  
 Meeting Info.: 000 5332: The 4th Multiconference on Systemics, Cybernetics  
 and Informatics SCI 2000 and The 6th International Conference on  
 Information Systems, Analysis and Synthesis ISAS 2000 (0005332). Orlando,  
 FL (USA). 23-26 Jul 2000. The International Institute of Informatics and  
 Systemics.  
 DT Conference  
 FS DCCP  
 LA English

L1 ANSWER 3 OF 5 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 84:23294 CONFSCI  
 DN 84034694  
 TI Requirements for **electronics trading** of livestock  
 products in Canada  
 AU Loyns, R.M.A.; Kraut, M.  
 CS Dep. Agricultural Economics and Farm Management, Univ. Manitoba, Canada  
 SO Abstracts in: "Canadian Journal of Animal Science", Dec. 1984, Canadian  
 Society of Animal Science, Suite 907, 151 Slater St., Ottawa, Ontario K1P  
 5H4, Canada, Price: \$7.00.  
 Meeting Info.: 843 0279: Canadian Society of Animal Science, 34th Annual  
 Meeting (8430279). Winnipeg, Manitoba (Canada). 19-22 Aug 84. Canadian  
 Society of Animal Science (CSAS).  
 DT Conference  
 FS DCCP  
 LA UNAVAILABLE

L1 ANSWER 4 OF 5 CONFSCI COPYRIGHT 2003 CSA on STN  
 AN 80:4436 CONFSCI  
 DN 80038402  
 TI Office **automation** - a **trade union** viewpoint  
 AU Sherman, B.  
 CS ASTMS  
 SO For information: ISL/IMEC Conference Regal House, Lower Road, Chorleywood,  
 Rickmansworth, Herts WD3 5LQ, England..  
 Meeting Info.: European Information Management Exhibition & Conference  
 (IMEC) (801 2168). London, England. 18-21 Feb 80. Clapp & Poliak Europe  
 Ltd..  
 DT Conference Article

FS DCCP  
LA English

L1 ANSWER 5 OF 5 CONFSCI COPYRIGHT 2003 CSA on STN  
AN 76:22571 CONFSCI  
DN 76076093

TI Fuel economy & emission **trade** off using **electronic**  
hardware for emission control on future models.

AU Campau, R.M.

SO Individual papers available after meeting; \$1.75 to members, \$2.75 to  
non-members: order by number from SAE, Dept. 287, 400 Commonwealth Drive,  
Warrendale, Pa. 15086, USA..

Meeting Info.: 1976 SAE Fuels & Lubricants/Powerplant Meeting (A762142).  
St. Louis, Missouri. 7-10 Jun 76. Society of Automotive Engineers.

DT Conference Article

FS DCCP

LA UNAVAILABLE

File 348:EUROPEAN PATENTS 1978-2003/Jul W03  
 (c) 2003 European Patent Office  
 File 349:PCT FULLTEXT 1979-2002/UB=20030724,UT=20030717  
 (c) 2003 WIPO/Univentio

?ds

Set	Items	Description
S1	1727	(AUTOMAT? OR COMPUTERI? OR ELECTRONIC? OR INTERNET) (5N) (TRADE OR TRADES OR TRADING)
S2	44759	(SUBMIT? OR SUBMISSION? OR TRANSMIT? OR TRANSMISS?) (5N) (ORDER OR ORDERS OR REQUEST OR REQUESTS)
S3	912	((LOOKUP OR LOOK()UP) (1W) (TABLE OR TABLES) OR TABLE()LOOK(-)UP? OR LOOKUP OR LOOK()UP) (5N) (PRICE OR PRICES OR CALCULATION?)
S4	11461	(TABLE? ? OR INDEX? OR MATRIX OR INDEXES OR INDICES OR MATRICES OR LIST? OR RECORD? OR FILE?) (5N) (PRICE OR PRICES OR CALCULATION?)
S5	9	(FAST()TIME OR REAL()TIME OR REALTIME OR AUTOMATIC? OR SIMULTAN? OR DYNAMIC? OR CURRENT? OR PRESENT? OR INSTANT? OR IMMEDIAT? OR INSTANTAN? OR ON(1W)FLY) (3W) (TRANSACTION()VALUE?)
S6	96	S1(S)S2
S7	14	S6(S) (S3 OR S4)
S8	0	S1(S)S5
S9	9	S5 NOT S7
S10	10	S6(S) (TABLE OR TABLES)
S11	3	S10 NOT (S7 OR S9)
S12	3	S6(S) (TRANSACTION()VALUE?)
S13	0	S12 NOT (S7 OR S9 OR S11)

7/3,K/1 (Item 1 from file: 349)  
DIALOG(R) File 349:PCT FULLTEXT  
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00994559

**DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING  
EXCHANGE THEREFOR**

**OPTIONS NUMERIQUES A RETOURS AJUSTABLES BASEES SUR LA DEMANDE ET BOURSE  
D'ECHANGES COMMERCIAUX AFFERENTE**

Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200323575 A2 20030320 (WO 0323575)

Application: WO 2002US30309 20020909 (PCT/WO US0230309)

Priority Application: US 2001950498 20010910

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO

RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 122079

Fulltext Availability:

Claims

Claim

... in the plurality of states.

In another additional embodiment, systems and

methods for conducting demandbased **trading** includes the steps of (a)  
establishing a plurality of states, each state corresponding to at...set  
of orders during the trading or auction period changes. For example, when  
a new **order** is **submitted** or an existing **order** is cancelled (or  
otherwise modified) the set of orders changes, steps 6.8(1) to...it is  
assumed, for purposes of illustration, that there are illustrative  
purposes, only three strike **prices** : \$30, \$50, and \$80.

**Table 6 1**

Buy Orders

30 calls 50 calls 80 calls

Limit "Price" QuantityLimit "Price" Quantit...After adding the initial  
liquidity of one value unit in each state, the  
initial option **prices** are as follows:

- 177

**Table 6 5**

MSFI'Digital Options

Initial Prices

CALLS PUTS

STRIKE IND MID IND BID IND...market price above the limit price.

With the addition of these lots, the new market **prices** are:

178

**Table 6 5**

MSFT Digital Options

**Prices** after ...0.08283 0.08383 C.91661 0.91617 0.91717

As can be seen from **Table 6 5**, the "**prices**" of the call options have

decreased while the it prices" of the put options have...worse (i.e.,  
"lower than ) the mid-market  
64 prices" from the most recent equilibrium **calculation** as shown in  
**Table 6 6**. As can be seen from the **table** , the mid-market "**price** "  
of the 80 digital put options is now The best limit order  
(highest "priced") is...the  
limit "price" conditions specified in each order. In the present  
illustration, the final equilibrium "**prices** " are:  
- 180

**Table 6 7**

MSFT Digital Options

Equilibrium Prices

CALLS PUTS

STRIKE IND MID IND BID IND **prices** ," the following **table** shows which  
of the original orders are executed or "filled":

Table 6 8

Filled Buy...

7/3,K/2 (Item 2 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00943636 \*\*Image available\*\*

**AUTOMATED SECURITIES TRADING SYSTEM**

**SYSTEME DE COMMERCE DE TITRES AUTOMATISE**

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20043-9998, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200277766 A2-A3 20021003 (WO 0277766)

Application: WO 2002US8736 20020321 (PCT/WO US0208736)

Priority Application: US 2001277257 20010321

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO

RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 10633

Fulltext Availability:

Claims

Claim

... in a database.

4 The method of claim 3, further comprising the step of acquiring  
**automatically trading** parameters from a database and carrying out the  
determining step within the constraints set by...

...at Anytime, Absolute Maximum Money To Invest, Maximum Percentage of  
Capital to Spend, Minimum Buy **List Price** , HardStop Loss, Minimum  
Daily Average Volume, Percentage of Portfolio Per Long Position, Round

Lots to...

...querying the brokerage system automatically at predetennined time intervals to determine the status of the **order** from the **transmitting** step.

7 The method of claim 1, farther comprising receiving automatically confirmation of a completed...

7/3,K/3 (Item 3 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00933152 \*\*Image available\*\*

**EXTENDED WEB ENABLED MULTI-FEATURED BUSINESS TO BUSINESS COMPUTER SYSTEM  
FOR RENTAL VEHICLE SERVICES  
SYSTEME INFORMATIQUE ETENDU ENTRE ENTREPRISES, A FONCTIONS MULTIPLES,  
FONCTIONNANT SUR LE WEB, POUR DES SERVICES DE LOCATION DE VEHICULES**

Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200267175 A2 20020829 (WO 0267175)

Application: WO 2001US51437 20011019 (PCT/WO US0151437)

Priority Application: US 2000694050 20001020

Parent Application/Grant:

Related by Continuation to: US 2000694050 20001020 (CIP)

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU

SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 243912

Fulltext Availability:

Detailed Description

Detailed Description

... to caller program.

4) If the passed Processing Code is 'Playment Advice, then perform



detail **calculations** to compute the total amount billed to the trading partner.

5) If the passed Processing...of t  
xrent AM0046VI program.

2.) Since this program is attempting to do the same **calculations** that we have at Close a Rental Contract **calculation** time, I suggest that the computation of the Daily Rental Direct Billing Sub-Total Amount...from the data provided in the Adjuster Detail (ADJD01) record format.

@Notes.

This program is **submitted** for execution by the ARMS Startup Jobs program  
@L810) with no entry parameters.

This program...

7/3,K/4 (Item 4 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00899532 \*\*Image available\*\*

**METHODS AND APPARATUS FOR FORMULATION, INITIAL PUBLIC OR PRIVATE OFFERING, AND SECONDARY MARKET TRADING OF RISK MANAGEMENT CONTRACTS**  
**PROCEDES ET SYSTEME POUR LA FORMULATION DE PREMIERES OFFRES PUBLIQUES OU PRIVEES ET LA NEGOCIATION DE MARCHE SECONDAIRE POUR DES CONTRATS DE GESTION DE RISQUES**

Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200233627 A2 20020425 (WO 0233627)

Application: WO 2001US32275 20011015 (PCT/WO US0132275)

Priority Application: US 2000240903 20001017; US 2001284051 20010416; US 2001923035 20010806

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD

SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 33670

Fulltext Availability:

Claims

Claim

... order is validated, the status of the order is updated to reflect validation, and the **order** is **transmitted** to the **order** routing subsystem. Simultaneously, the Member 10 receives an order confirmation notice. The Order Router 330...86 bank (i.e., the Settlement Bank 694). Members will be permitted to fund their **trading** accounts by **electronic**

check, wire transfer, or other means. Funds will not be available for trading purposes in...

...withdrawn from the account of the Market Authority 500 within three business days of the **request**, and will be electronically **transmitted** to a destination account specified by the member. In the event that a member attempts...of all trades executed within the last hour as well as a real time updated **listing** of the size and **price** of the best outstanding bid and offer. Although all members will have access to this ...

...they enter an order, this information may, of course, change between the time the member **submits** an **order** and the time the market receives and processes it.

Placing an Order

To place an...then the remainder of the order will remain in the Order Book at its limit **price** and will execute against subsequently **listed** contra orders at its limit 1 5 price. After the Order Placement Application 3 1...

7/3,K/5 (Item 5 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00858317

**SOLUTIONS SERVER**

**SERVEUR DE SOLUTIONS**

Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200190925 A2 20011129 (WO 0190925)

Application: WO 2001US17137 20010524 (PCT/WO US0117137)

Priority Application: US 2000578947 20000525

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ

LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG

SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 20692

Fulltext Availability:

Claims

Claim

... problems. 114. The solutions server of claim 113 wherein the client has the capability of **submitting** to the processor a **request** for a subscription.

115. The solutions server of claim 114 wherein the processor is flirther

...wherein the solution record is found, the processor being further programmed to update the solution **record** with the **price** from the quote. 123. The solutions server of claim 122, wherein the solution record is...set in the memory. 138. The system of claim 137, wherein the client comprises an **automated** system for **trading** securities, the system further comprising a client processor coupled to client memory, the client processor...

...to markets.

139. The system of claim 137 wherein the client comprises more than one **automated** system for **trading** securities and the **automated** systems for **trading** securities are scaled. 140. The system of claim 137 wherein the processor is further programmed...

...The system of claim 145 wherein the processor is further programmed to sort the solution **records** according to **price**. 148. The system of claim 145 wherein the processor is further programmed to sort the...

...The system of claim 145 wherein the processor is further programmed to sort the solution **records** according to **price** and latency. 150. The system of claim 145 wherein the processor is further programmed to sort the solution **records** according to side, **price**, and latency. 151. The system of claim 145 wherein the processor is further programmed to...

7/3,K/6 (Item 6 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00806392

**TECHNOLOGY SHARING DURING ASSET MANAGEMENT AND ASSET TRACKING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF**

**PARTAGE TECHNOLOGIQUE LORS DE LA GESTION ET DU SUIVI DU PARC INFORMATIQUE DANS UN ENVIRONNEMENT DU TYPE CHAÎNE D'APPROVISIONNEMENT RÉSEAUTÉE, ET PROCÉDÉ ASSOCIÉ**

Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200139086 A2 20010531 (WO 0139086)

Application: WO 2000US32310 20001122 (PCT/WO US0032310)

Priority Application: US 99444653 19991122; US 99447623 19991122

Designated States: AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE

DK DM DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL

TJ TM TR TT TZ UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 156214

Fulltext Availability:

Detailed Description

Detailed Description

The foregoing embodiments of the present invention may be employed in the generation of an **Internet** architecture framework flke the one shown in Figure 53 to support various features such as...on a single communications link.

RTP Real-Time Transport Protocol, an Internet Standard Protocol for **transmission** of real-time data Eke voice and video over unicast and multicast networks.

130  
PPP...

7/3,K/7 (Item 7 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00806383

COLLABORATIVE CAPACITY PLANNING AND REVERSE INVENTORY MANAGEMENT DURING DEMAND AND SUPPLY PLANNING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF

PLANIFICATION EN COLLABORATION DES CAPACITES ET GESTION ANTICIPEE DES STOCKS LORS DE LA PLANIFICATION DE L'OFFRE ET DE LA DEMANDE DANS UN ENVIRONNEMENT DE CHAINE D'APPROVISIONNEMENT FONDEE SUR LE RESEAU ET PROCEDE ASSOCIE

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200139029 A2 20010531 (WO 0139029)

Application: WO 2000US32309 20001122 (PCT/WO US0032309)

Priority Application: US 99444655 19991122; US 99444886 19991122

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DK DM DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL

TJ TM TR TT TZ UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 157840

Fulltext Availability:

Detailed Description

Detailed Description

... windows, menus or graphics objects.

An object can represent an inventory, such as a personnel **file** or a **table** of the latitudes and longitudes of cities.

An object can represent user-defined data types...network from source to 'destination via intermediate nodes, and do not necessarily arrive in the **order** in which they were **transmitted** .

In a lookup step 5108, the telephonic communication over the hybrid network is limited bases...are publicly available in standards documents, particularly in Requests for Comments (RFCs). A requirement for **Internet** connection is TCP/IP, which consists of a large set of data

communications protocols, two...

7/3,K/8 (Item 8 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00806382

METHOD FOR AFFORDING A MARKET SPACE INTERFACE BETWEEN A PLURALITY OF  
MANUFACTURERS AND SERVICE PROVIDERS AND INSTALLATION MANAGEMENT VIA A  
MARKET SPACE INTERFACE

PROCEDE DE MISE A DISPOSITION D'UNE INTERFACE D'ESPACE DE MARCHE ENTRE UNE  
PLURALITE DE FABRICANTS ET DES FOURNISSEURS DE SERVICES ET GESTION  
D'UNE INSTALLATION VIA UNE INTERFACE D'ESPACE DE MARCHE

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200139028 A2 20010531 (WO 0139028)

Application: WO 2000US32308 20001122 (PCT/WO US0032308)

Priority Application: US 99444773 19991122; US 99444798 19991122

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ  
DE DK DM DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK  
LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK  
SL TJ TM TR TT TZ UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 170977

Fulltext Availability:

Detailed Description

Detailed Description

... a party 112 located in New York City, New York. Such a call is  
typically **transmitted** across three (3) switches: the Los Angeles,  
California switch 1206; the Chicago, Illinois switch 1208...

...call is terminated, each switch 1206-1210 that processed the call  
completes the associated call. **record**. The switches 1206-1210 combine  
multiple call records into a billing block.  
When a switch...

7/3,K/9 (Item 9 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2003 WIPO/Univentio. All rts. reserv.

00803948 \*\*Image available\*\*

METHOD OF AND SYSTEM FOR ENABLING BRAND-IMAGE COMMUNICATION BETWEEN VENDORS  
AND CONSUMERS

PROCEDE ET SYSTEME PERMETTANT DE COMMUNIQUER UNE IMAGE DE MARQUE ENTRE DES  
VENDEURS ET DES CONSOMMATEURS

Patent Applicant/Assignee:

IPF INC, Soundview Plaza, 1266 East Main Street, Stamford, CT 06902, US,  
US (Residence), US (Nationality), (For all designated states except:  
US)

Patent Applicant/Inventor:

PERKOWSKI Thomas J, 10 Waldon Road, Darien, CT 06820, US, US (Residence),  
US (Nationality), (Designated only for: US)

Legal Representative:

PERKOWSKI Thomas J (agent), Thomas J. Perkowski, P.C., Soundview Plaza,  
1266 East Main Street, Stamford, CT 06902, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200137540 A2-A3 20010525 (WO 0137540)

Application: WO 2000US31757 20001117 (PCT/WO US0031757)

Priority Application: US 99441973 19991117; US 99447121 19991122; US  
99465859 19991217; US 2000483105 20000114; US 2000599690 20000622; US  
2000641908 20000818; US 2000695744 20001024

Parent Application/Grant:

Related by Continuation to: US 99441973 19991117 (CIP); US 99447121  
19991122 (CIP); US 99465859 19991217 (CIP); US 2000483105 20000114  
(CIP); US 2000599690 20000622 (CIP); US 2000641908 20000818 (CIP); US  
2000695744 20001024 (CIP)

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ

DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ

LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG

SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 116871

Fulltext Availability:

Claims

Claim

... shown in Fig. 2C, and (ii) a conventional manufacturer's EDI-enabled  
UPCindexed Product Sales **Price** Information Catalog (e.g. UPC+5.0  
management software by Barcode World, Inc. or UPC...a conventional  
UPCbased Product Sales Price Information Subsystem (UPC Product Sales  
Price Catalog), a conventional **Electronic Trading** Information  
Subsystem, a conventional Sales Analysis and Forecasting Information  
Subsystem, Collaborative Replenishment Information Subsystem, and...  
numerous consumer-products offered for wholesale to retailers by  
manufacturers registering their products therewith; a **Electronic  
Trading** Information Subsystem 4 for providing trading partners (e.g. a  
manufacturer and a retailer) to...

7/3,K/10 (Item 10 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00799890 \*\*Image available\*\*

**SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN  
CAPITAL MARKETS**

**SYSTEME ET PROCEDE PERMETTANT D'OPERER DES TRANSACTIONS FINANCIERES VIA  
L'INTERNET SUR LE MARCHE FINANCIER**

Patent Applicant/Assignee:

INTEGRAL DEVELOPMENT CORPORATION, 156 University Avenue, Palo Alto, CA  
94301, US, US (Residence), US (Nationality), (For all designated states  
except: US)

Patent Applicant/Inventor:

SANDHU Harpal, 669 Waverly Place, Palo Alto, CA 94301, US, US (Residence)  
, US (Nationality), (Designated only for: US)

TOLAT Viral V, 2148 Harkins Avenue, Menlo Park, CA 94025, US, US  
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

CHOU Chien-Wei (Chris) (et al) (agent), Oppenheimer Wolff & Donnelly LLP,  
1400 Page Mill Road, Palo Alto, CA 94304, US,  
Patent and Priority Information (Country, Number, Date):  
Patent: WO 200133462 A1 20010510 (WO 0133462)  
Application: WO 2000US30076 20001031 (PCT/WO US0030076)  
Priority Application: US 99162873 19991101  
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ  
DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ  
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG  
SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM  
Publication Language: English  
Filing Language: English  
Fulltext Word Count: 52016

Fulltext Availability:  
Claims

#### Claim

... 1 Price Improvement

In certain embodiments of this invention, as described above, (i) users  
Members) **submit** transaction **requests** that are aggregated and  
displayed to one or more other users Providers) and (ii) one...

...The aggregated display of tradable quotes, which may be in the form of a  
product **matrix** (including product FX Spot), **price** or rate, currency,  
Provider, transaction limits, expiration date/time), may also include a  
filter to...modifying them and submitting such modifications to the  
quoting Providers, according to the parameters detected. **Automated**  
**trading** policies (or templates) can also be implemented to  
conduct block transactions. Such automated policies may...

7/3,K/11 (Item 11 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2003 WIPO/Univentio. All rts. reserv.

00761431

#### A SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR PROVIDING COMMERCE-RELATED WEB APPLICATION SERVICES

#### SYSTEME, PROCEDE ET ARTICLE MANUFACTURE DESTINES A LA FOURNITURE DE SERVICES D'APPLICATION DANS LE WEB LIES AU COMMERCE

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US  
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,  
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,  
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,  
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073957 A2-A3 20001207 (WO 0073957)  
Application: WO 2000US14420 20000525 (PCT/WO US0014420)  
Priority Application: US 99321492 19990527

Designated States: AE AG AL AM AT AT (utility model) AU AZ BA BB BG BR BY  
CA CH CN CR CU CZ CZ (utility model) DE DE (utility model) DK DK (utility  
model) DM DZ EE EE (utility model) ES FI FI (utility model) GB GD GE GH  
GM HR HU ID IL IN IS JP KE KG KP KR KR (utility model) KZ LC LK LR LS LT  
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SK  
(utility model) SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English  
Filing Language: English  
Fulltext Word Count: 150171

Fulltext Availability:  
Detailed Description

Detailed Description

... service. Yet another aspect of the present invention includes outputting an estimate relating to a **price** and/or availability of the products and services. Further, an order for the products and...It provides for the sending, receiving, and encrypted transmission of documents among heterogeneous systems of **trading** partners over the **Internet** .

SellerProduct1 -An application designed to support advanced business-to-business selling over the Internet. SellerProduct1...

...including tax, shipping, and payment services.)

BuyerProduct I - An Internet- based corporate procurement application that **automates** order and delivery, supports complex **trading** relationships, and allows for the exchange of information via EDI or the Internet.

PublishingProduct1 - An...high-quality textured images, or highly colored images is important, but where file storage and **transmission** is not an issue (where the media content is local to the client application, such...

7/3,K/12 (Item 12 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2003 WIPO/Univentio. All rts. reserv.

00761423

A SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR EFFECTIVELY CONVEYING WHICH COMPONENTS OF A SYSTEM ARE REQUIRED FOR IMPLEMENTATION OF TECHNOLOGY  
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE POUR L'ACHEMINEMENT EFFICACE DES COMPOSANTS D'UN SYSTEME NECESSAIRES A LA MISE EN PRATIQUE D'UNE TECHNOLOGIE

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US  
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,  
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,  
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,  
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073929 A2 20001207 (WO 0073929)  
Application: WO 2000US14457 20000524 (PCT/WO US0014457)  
Priority Application: US 99321136 19990527

Designated States: AE AG AL AM AT AT (utility model) AU AZ BA BB BG BR BY  
CA CH CN CR CU CZ CZ (utility model) DE DE (utility model) DK DK (utility model) DM DZ EE EE (utility model) ES FI FI (utility model) GB GD GE GH  
GM HR HU ID IL IN IS JP KE KG KP KR KR (utility model) KZ LC LK LR LS LT



LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SK  
(utility model) SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW  
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 150133

Fulltext Availability:

Detailed Description

Detailed Description

... various components of the system.

Next, the components of the system are indicia coded in **order** to indicate which components are required for the implementation of the system.

In one aspect...the database. The most common information extracted from a legacy system, however, is the data: **record** /table structure, indexes, and data element definitions.

In component-based architectures, as systems are often...

7/3,K/13 (Item 13 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00563461 \*\*Image available\*\*

**CROSSING NETWORK AND METHOD**

**RESEAU CROISE ET PROCEDE Y RELATIF**

Patent Applicant/Assignee:

OPTIMARK TECHNOLOGIES INC,

RICKARD John T,

LUPIEN William A,

Inventor(s):

RICKARD John T,

LUPIEN William A,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200026834 A2 20000511 (WO 0026834)

Application: WO 99US25369 19991029 (PCT/WO US9925369)

Priority Application: US 98106268 19981030

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ

MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ

CF CG CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 25235

Fulltext Availability:

Claims

Claim

... The method according to claim 95, further comprising the step of canceling a CQS order **automatically** at an end of a **trading** cycle in which the CQS order participates. IO 1. The method according to claim 94, further comprising the step of assigning a time stamp to each **order submitted** to the matching engine. 102. The method according to claim 1 0 1, further comprising the step of assigning an exchange assigned date and time to book **orders submitted** to the matching engine from the

exchange.  
'SUBSTFM SHEE7 (RULE 26)  
'I O' ). The method...

...further comprising the step of assigning a date and time stamp to agency and principal **orders** at a time of **submittal** to the matching engine.  
104. The method according to claim IO 1, wherein all time...to claim I I 0. wherein the one selected middle price is a

9

middle **price** closer to a last **recorded** sale **price** . 1 12. The method according to claim I I 1, wherein the last **recorded** sale **price** is a national last sale if the selection occurs at a beginning of a match...

...list from the kernels of all orders, via a sequence of nested sorts of each **list** in strict order of **price** , standing, class and time of entry priority;

d) selecting a kernel at a top of...seller was executed. 140. A method for exchanging instruments comprising the steps of a) receiving an **electronic trading** message indicating a **trade** to be executed in a particular instrument between at least one buyer and at least...

...particular instrument between the buyer and the seller in an exchange; and

C) sending an **electronic** confirmation message indicating that the **trade** in the particular instrument between the buyer and seller was executed.

141 A method for exchanging instruments comprising the steps of:

a) receiving an **electronic trading** message indicating a **trade** to be executed in a particular instrument between at least one buyer and at least...164. A crossing network according to claim 162, wherein at least a portion of said **orders** are **transmitted** to said matching controller computer from said at least one trader terminal. 165. A crossing...

7/3,K/14 (Item 14 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00488469 \*\*Image available\*\*

**SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR ELECTRONIC TRADING OF FINANCIAL INSTRUMENTS**

**SYSTEMES, METHODES ET PROGRAMMES INFORMATIQUES DESTINES A LA NEGOCIATION ELECTRONIQUE D'INSTRUMENTS FINANCIERS**

Patent Applicant/Assignee:

DERIVATIVES NET INC,

MAY R Raymond,

Inventor(s):

MAY R Raymond,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9919821 A1 19990422

Application: WO 98US21518 19981013 (PCT/WO US9821518)

Priority Application: US 9762410 19971014

Designated States: AL AM AT AT AU AZ BA BB BG BR BY CA CH CN CU CZ CZ DE DE

DK DK EE EE ES FI FI GB GD GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC

LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SK

SL TJ TM TR TT UA UG US UZ VN YU ZW GH GM KE LS MW SD SZ UG ZW AM AZ BY

KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 34553

Fulltext Availability:

Claims

Claim

... be noted that the inventors of the present invention are not presently aware of any **electronic trading** system that offers color-based credit preference pre-screening such as that disclosed herein. The...This function cancels the last passive order placed by the trader. Therefore, if a user **submits** an **order** and immediately changes his or her mind, the order can be canceled without the need...

...In specific regard to the ORD button in the function bar 290, a user can **submit** a passive **order** by selecting the ORD button. If the ORD button is selected, a passive order interface...used to navigate through the passive order interface 294. Upon selecting the OK button, the **order** is **submitted** into the system 10 and the user is returned to the market entry interface 250...the previous amount holding its place and a new order placed for the balance. Effective **electronic trading** should be intuitive, fast and reliable. In order to facilitate this, the present invention is...

...function bar 290, 308 in order to launch the passive order interface 294. Once an **order** has been **submitted**, it will immediately be updated to the market entry interfaces 250 and market details interfaces...interface 130. Further, the blotter 320 and monitor 330 are updated automatically if the user **submits** an **order** via one of the other interfaces. The system 10 permits active orders (i.e., those...Once a trade has been executed and the non-commercial terms have been negotiated, a **trade** confirmation is sent **automatically** to the settlement contact of both business units preferably via fax. The system 10 can...addition, the possible switches 408, available switches 410, formulated forward rate 412, and a fair **price** 414 are also **listed** for each day 406. By selecting a day 406, the switch interface 400 displays all...

...from other available market rates for the given date for the maturity of the underlying **index** maturity. The fair **price** 414 represents the relative price between the two underlying FRAs, which is the basis upon ...

9/3,K/1 (Item 1 from file: 348)  
DIALOG(R)File 348:EUROPEAN PATENTS  
(c) 2003 European Patent Office. All rts. reserv.

01436157

**Method and apparatus for receiving and dispensing banknotes**  
**Vorrichtung und Verfahren zum Annehmen und Ausgeben von Banknoten**  
**Dispositif et methode pour recevoir et distribuer des billets de banque**  
PATENT ASSIGNEE:

Mars Inc., (2654811), 6885 Elm Street, McLean, VA 22101, (US), (Applicant designated States: all)

INVENTOR:

Gerlier, Andre, Hameau de Prailles, 74140 Sciez, (FR)

Moreno, Juan-Jose, Ch. Neuf 6, 1052 Le Mont-sur-Lausanne, (CH)

LEGAL REPRESENTATIVE:

Cross, James Peter Archibald et al (77091), R.G.C. Jenkins & Co., 26  
Caxton Street, London SW1H 0RJ, (GB)

PATENT (CC, No, Kind, Date): EP 1220166 A1 020703 (Basic)

APPLICATION (CC, No, Date): EP 2000311777 001229;

DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;  
LU; MC; NL; PT; SE; TR

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS: G07D-009/00; G07F-007/10

ABSTRACT WORD COUNT: 66

NOTE:

Figure number on first page: 2

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	200227	676
SPEC A	(English)	200227	1913
Total word count - document A			2589
Total word count - document B			0
Total word count - documents A + B			2589

...CLAIMS S90) and the value of the deposited value carriers is greater than required for the **current transaction**, **value** carriers are transferred to the escrow (14) and dispensed as change.

7. A method according...

9/3,K/2 (Item 2 from file: 348)  
DIALOG(R)File 348:EUROPEAN PATENTS  
(c) 2003 European Patent Office. All rts. reserv.

01424192

**A user authentication system and process**  
**System und Verfahren zur Benutzerauthentifizierung**  
**Systeme et procede d'authentification d'utilisateurs**  
PATENT ASSIGNEE:

Varette Limited, (3234220), Unit 3 Bracken Business Park, Bracken Road, Sandyford Industrial Park, Dublin 18, (IE), (Applicant designated States: all)

INVENTOR:

Harris, Vance, 16 Clearwater Cove, Monkstown, County Dublin, (IE)

Fearon, Patrick, 20 Cloister Grove, Carysfort Avenue, Blackrock, County Dublin, (IE)

O'Grady, Paul, 15 Rosemount Crescent, Clonskeagh, Dublin 14, (IE)

LEGAL REPRESENTATIVE:

Weldon, Michael James et al (72443), c/o John A. O'Brien & Associates, Third Floor, Duncairn House, 14 Carysfort Avenue, Blackrock, Co. Dublin, (IE)

PATENT (CC, No, Kind, Date): EP 1202228 A1 020502 (Basic)

APPLICATION (CC, No, Date): EP 2001650125 011016;

PRIORITY (CC, No, Date): EP 2000650160 001017; EP 2001650009 010118; IE  
10173 010226  
DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;  
LU; MC; NL; PT; SE; TR  
EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI  
INTERNATIONAL PATENT CLASS: G07F-007/10; G07C-009/00  
ABSTRACT WORD COUNT: 156  
NOTE:

Figure number on first page: 1

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	200218	707
SPEC A	(English)	200218	3990
Total word count - document A			4697
Total word count - document B			0
Total word count - documents A + B			4697

...SPECIFICATION factors in more detail.

1. The value for the cost of false accept (Cfa) is **dynamic** ,  
depending on the **transaction value** . For example, a mobile  
telecommunications application may incorporate a large volume of  
low-value transactions...

**9/3,K/3 (Item 3 from file: 348)**

DIALOG(R) File 348:EUROPEAN PATENTS

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00925092

**Transaction processing**

**Verarbeitung von Transaktionen**

**Traitement de transactions**

PATENT ASSIGNEE:

FEXCO, (2807180), FEXCO Financial Services Centre, Iveragh Road,  
Killorglin, County Kerry, (IE), (Proprietor designated states: all)

INVENTOR:

Murphy, Frank, Coolmagort, Beaufort, County Kerry, (IE)

LEGAL REPRESENTATIVE:

Schutte, Gearoid et al (74261), Cruickshank & Co., 1 Holles Street,  
Dublin 2, (IE)

PATENT (CC, No, Kind, Date): EP 843292 A2 980520 (Basic)

EP 843292 A3 991117

EP 843292 B1 020320

APPLICATION (CC, No, Date): EP 97650053 971114;

PRIORITY (CC, No, Date): IE 960809 961114; IE 960807 961114

DESIGNATED STATES: AT; BE; CH; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LU;  
MC; NL; PT; SE

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS: G07F-019/00; G06F-017/60; G07F-007/10;  
G07G-001/14

ABSTRACT WORD COUNT: 115

NOTE:

Figure number on first page: 1

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	199821	545
CLAIMS B	(English)	200212	584
CLAIMS B	(German)	200212	544
CLAIMS B	(French)	200212	735
SPEC A	(English)	199821	2721
SPEC B	(English)	200212	2963

Total word count - document A 3267  
Total word count - document B 4826  
Total word count - documents A + B 8093

...SPECIFICATION an input including a transaction value in a local currency and a desired transaction currency;  
**automatically** determining an equivalent **transaction value** in the transaction currency by reference to the conversion table;  
writing transaction data with the...

...SPECIFICATION an input including a transaction value in a local currency and a desired transaction currency;  
**automatically** determining an equivalent **transaction value** in the transaction currency by reference to the conversion table;  
writing transaction data with the...

...CLAIMS a transaction value in a local currency, and an indication of a desired transaction currency;  
**automatically** determining an equivalent **transaction value** in the transaction currency by reference to the conversion table;  
writing transaction data with the...

...CLAIMS a transaction value in a local currency, and an indication of a desired transaction currency;  
**automatically** determining an equivalent **transaction value** in the transaction currency by reference to the conversion table (46);  
writing transaction data with...

9/3,K/4 (Item 1 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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01028448 \*\*Image available\*\*

**MICROPAYMENT FINANCIAL TRANSACTION PROCESS UTILIZING WIRELESS NETWORK PROCESSING**

**PROCEDE DE TRANSACTIONS FINANCIERES PAR MICROPAIEMENT UTILISANT UN TRAITEMENT EN RESEAU SANS FIL**

Patent Applicant/Assignee:

VIVOTECH INC, 451 El Camino Real, Santa Clara, CA 95050, US, US

(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

KHAN Mohammad A, 2238 Bentley Ridge Drive, San Jose, CA 95138, US, US

(Residence), US (Nationality), (Designated only for: US)

FERNANDES Jorge, 11871 Hilltop Drive, Los Altos Hills, CA 94024, US, US

(Residence), US (Nationality), (Designated only for: US)

BROWN Kerry, 11921 Hilltop Drive, Los Altos Hills, CA 94024, US, US

(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

TOBIN Kent J (et al) (agent), Townsend and Townsend and Crew LLP, Two

Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200358391 A2 20030717 (WO 0358391)

Application: WO 2002US40716 20021218 (PCT/WO US0240716)

Priority Application: US 2001343874 20011226; US 2001345985 20011231; US

2002382280 20020520; US 2002411536 20020917

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO

RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SI SK  
TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English  
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Fulltext Word Count: 13938

Fulltext Availability:  
Detailed Description

Detailed Description

... a transaction to be executed utilizing a MicroAdapter in accordance with an embodiment of the **present** invention. The available **transaction value** may be increased by additional credit and prepay procedures that may be offered by the...

9/3,K/5 (Item 2 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00974240

CONVERGENT COMMUNICATIONS PLATFORM AND METHOD FOR MOBILE AND ELECTRONIC  
COMMERCE IN A HETEROGENEOUS NETWORK ENVIRONMENT  
PLATE-FORME DE COMMUNICATION CONVERGENTE DE COMMERCE MOBILE ET ELECTRONIQUE  
DANS UN ENVIRONNEMENT DE RESEAU HETEROGENE

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200303704 A2 20030109 (WO 0303704)

Application: WO 2002GB2997 20020628 (PCT/WO GB0202997)

Priority Application: US 2001894890 20010629; US 200296912 20020314

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CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO

RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

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(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 39579.

Fulltext Availability:

Detailed Description

Detailed Description

... higher than a set of previous transactions (e. g-, asking a special  
password if the **current transaction value** is 50% more than a total  
of the past five days transactions together), Based on...

9/3,K/6 (Item 3 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00921146

**METHOD AND APPARATUS FOR RECEIVING AND DISPENSING VALUE CARRIERS**

**PROCEDE ET DISPOSITIF POUR RECEVOIR ET DISTRIBUER DES SUPPORTS DE VALEUR**

Patent Applicant/Assignee:

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Patent Applicant/Inventor:

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Legal Representative:  
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Patent and Priority Information (Country, Number, Date):  
Patent: WO 200254359 A2 20020711 (WO 0254359)  
Application: WO 2001IB2805 20011220 (PCT/WO IB0102805)  
Priority Application: EP 2000311777 20001229  
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU  
CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP  
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD  
SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM  
Publication Language: English  
Filing Language: English  
Fulltext Word Count: 7090

Fulltext Availability:  
Claims

#### Claim

... S90) and the value of the deposited value carriers is  
greater than required for the **current transaction**, **value** carriers  
are  
transferred to the escrow (14) and dispensed as change.

32 A method according...

9/3,K/7 (Item 4 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00829949 \*\*Image available\*\*

#### **A METHOD AND SYSTEM TO FACILITATE FINANCIAL SETTLEMENT OF SERVICE ACCESS BETWEEN MULTIPLE PARTIES PROCEDE ET SYSTEME POUR FACILITER LE REGLEMENT FINANCIER DE L'ACCES AUX SERVICES ENTRE DIFFERENTES PARTIES**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200163530 A1 20010830 (WO 0163530)

Application: WO 2001US5723 20010223 (PCT/WO US0105723)

Priority Application: US 2000185180 20000225; US 2001791239 20010221

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DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ  
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG  
SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 12611

Fulltext Availability:

Claims

Claim

... a plurality of service customers to facilitate service access  
by the plurality of service customers;  
**automatically** determining respective **transaction values** for each of  
the  
plurality of transactions; and  
automatically updating account payable balances for the...

...receivable balance exceeds a predeterm-dned limit.

13 The method of claim 1 wherein the **automatic** determining of  
respective  
**transaction values** includes identifying a multi-tiered grouping of  
customers for a specific transaction, and calculating a...

...service customers to  
facilitate service access by the plurality of service customers;  
a settlement application **automatically** to determine respective  
**transaction values** for each of the plurality of transactions and  
automatically to update account payable balances for...a plurality of  
service customers to facilitate service access  
by the plurality of service customers;  
**automatically** determine respective **transaction values** for each of  
the  
plurality of transactions; and  
automatically update account payable balances for the...

...of service customers to facilitate service access  
by the plurality of service customers;  
means for **automatically** determining respective **transaction values**  
for  
each of the plurality of transactions and for automatically updating  
account payable balances for...

9/3,K/8 (Item 5 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00367123 \*\*Image available\*\*

**GENERAL PURPOSE, PROGRAMMABLE MEDIA PROCESSOR**  
**PROCESSEUR POUR MEDIA PROGRAMMABLE ET UNIVERSEL**

Patent Applicant/Assignee:

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HANSEN Craig,  
MOUSSOURIS John,

Inventor(s):

HANSEN Craig,  
MOUSSOURIS John,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9707450 A1 19970227

Application: WO 96US13047 19960816 (PCT/WO US9613047)  
Priority Application: US 95516036 19950816  
Designated States: AL AM AT AU AZ BB BG BR BY CA CH CN CZ DE DK EE ES FI GB  
GE HU IL IS JP KE KG KP KR KZ LK LR LS LT LU LV MD MG MK MN MW MX NO NZ  
PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG US UZ VN KE LS MW SD SZ UG  
AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL  
PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG  
Publication Language: English  
Fulltext Word Count: 116769  
Fulltext Availability:  
Detailed Description

Detailed Description

... 116

Execute Short Immediate

These operations perform calculations with one general register value and one **immediate value**, placing the result in a general register.

Operation codes

E.ROTR.1 Execute rotate right...

9/3,K/9 (Item 6 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00157721

DATA COMPACTION METHOD FOR MICROPROCESSOR CARDS

PROCEDE DE TASSEMENT DE DONNEES POUR DES CARTES A MICROPROCESSEUR

Patent Applicant/Assignee:

WRIGHT TECHNOLOGIES L P,

Inventor(s):

LICHTY Ronald D,

Patent and Priority Information (Country, Number, Date):

Patent: WO 8904090 A1 19890505

Application: WO 88US3633 19881017 (PCT/WO US8803633)

Priority Application: US 87981 19871021

Designated States: AT AU BE BR CH DE FR GB IT JP KR LU NL SE

Publication Language: English

Fulltext Word Count: 8775

Fulltext Availability:

Detailed Description

Detailed Description

... shorter bit-length

prefixes in place of values which are recurrences of previous values, Each **currently** occurring **transaction value** is represented and recorded serially in the most efficient compressed form by adding to the...

?

11/3,K/1 (Item 1 from file: 349)  
DIALOG(R) File 349:PCT FULLTEXT  
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00901316 \*\*Image available\*\*

**ELECTRONIC INTERNATIONAL TRADING**  
**ECHANGES ELECTRONIQUES INTERNATIONAUX**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200235382 A1 20020502 (WO 0235382)  
Application: WO 2001AU614 20010524 (PCT/WO AU0100614)  
Priority Application: AU 20001053 20001027

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CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP  
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD  
SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 22574

Fulltext Availability:

Claims

Claim

... provides a suite of software (management modules) 7 which combine to form part of an **electronic** international **trading** system and method therefor. Generally, security measures will be provided to keep a company's...seeks to provide users with the electronic tools to increase the opportunities available by embracing **electronic** commerce in the **trade** process, As a result, depending on how electronically enabled a user is, several modules can...

...merely illustrative and not limiting of the scope of the present invention. The example illustrates **electronic** international **trading** software which may be used as an **electronic** international **trading** system (EITS), In this section reference to the term EITS should be taken to be...

...purchase invoice 362 to the client ERP system 330. The client ERP system 330 can **transmit** a purchase **order** 331 to the supplier 360. Purchase order, purchase invoice, goods receipt, sales invoice and goods...update information 451 from a module or procedure 450 which may include, for example, reference **tables**, products, bill of material, tariffs, suppliers, customers, daily foreign exchange rates, Lloyds numbers and

ship...

11/3,K/2 (Item 2 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00814145

**A METHOD FOR EXECUTING A NETWORK-BASED CREDIT APPLICATION PROCESS  
PROCEDE DE MISE EN OEUVRE D'UN PROCESSUS DE DEMANDE DE CREDIT EN RESEAU**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200146889 A2 20010628 (WO 0146889)

Application: WO 2000US35216 20001222 (PCT/WO US0035216)

Priority Application: US 99470805 19991222; US 99469525 19991222; US  
99470039 19991222

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK DM DZ  
EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU  
LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT  
UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

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Filing Language: English

Fulltext Word Count: 98671

Fulltext Availability:

Detailed Description

Detailed Description

... profitable for the bank. Thus, it is difficult for parties wishing to participate in international **trade** to use the L/C mechanism when the value of the goods involved in a...flow diagram for initiation of a transaction between a buyer and seller using combined purchase **order** proforma. invoice **submission** ; Figure 18 illustrates a process for a payment transaction during a trade; Figure 19 illustrates...of Figure 53 may be brought together to create an eMarketplace; Figure 56 is a **table** setting forth descriptions of elements of the infrastructure including software/solutions, IT, fulfillment, and financial services/risk management; Figure 57 is a **table** setting forth a process to create solutions to specific needs during a buy and sell...

11/3,K/3 (Item 3 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00784126

**SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR AN EXCEPTION RESPONSE TABLE  
IN ENVIRONMENT SERVICES PATTERNS**

**SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION DESTINES A UNE TABLE DE REPONSE**

D'EXCEPTION DANS DES CONFIGURATIONS DE SERVICES D'ENVIRONNEMENT

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200116706 A2-A3 20010308 (WO 0116706)

Application: WO 2000US24086 20000831 (PCT/WO US0024086)

Priority Application: US 99387873 19990831

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DZ EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR

TT UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

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Fulltext Word Count: 150318

Fulltext Availability:

Detailed Description

Detailed Description

... a Locally Addressable Interface to hide functionality and lessen the  
load on the Naming or **Trading** Service; Figure 87 illustrates the manner  
in which the present invention obtains a Locally Addressable...type,  
requester, quantity to be printed, and requested time. Based on the  
report type, a **table** of reports is examined in order to gather  
additional report-specific information and perform...

...which is performed by a report manager module.

The report process maintains an internal database **table**, a report  
status **table**, containing information about each report that has been  
requested for generation, ...228

All application-defined report writer modules invoke an API to update the  
report status **table** with a status of "completed" after a report has  
been produced or with "error" if...

...the generation if specified in the original request.

Processed report records are removed from the **table** only after the  
output reports have been archived. Implementation and frequency of this  
**table** cleanup is to be determined in systems management design.

Report Process Flows

Report processing is...

...request, the process flow proceeds as follows.

A record is added to the report status **table**.

A message is sent to the report writer process for immediate generation  
or to the...

...if specified in the original API request, and updates the status in the  
report status **table**.

A request to print a report proceeds as follows.